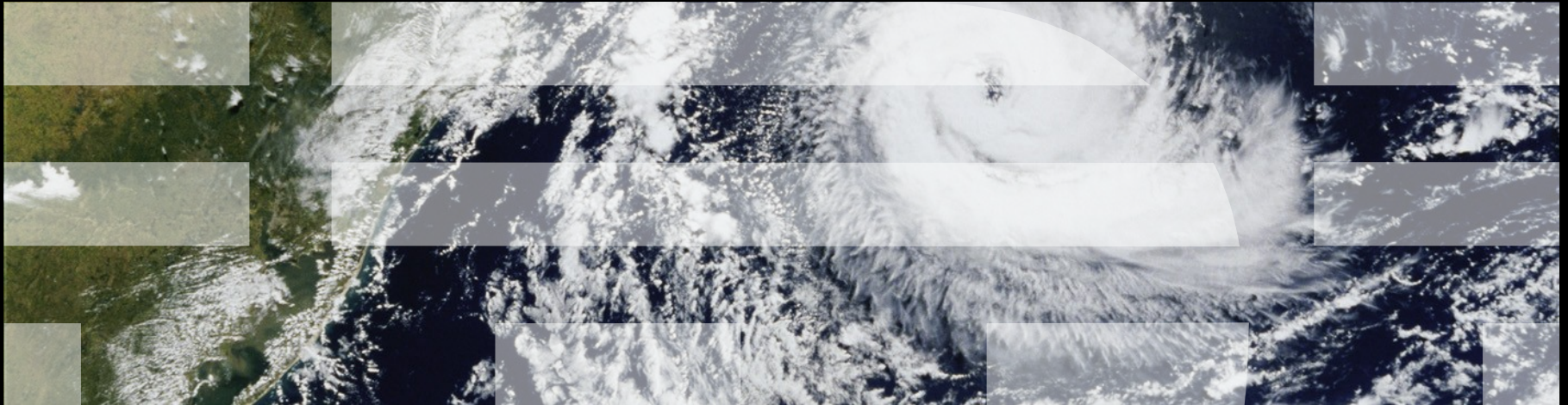


Paul E. McKenney, IBM Distinguished Engineer, Linux Technology Center & Linaro  
February 15, 2012

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# Making RCU Safe For Battery-Powered Devices



## Overview

- What is RCU?
- “The Good Old Days”
- Overview of RCU's many variants of energy efficiency
- Current state of RCU energy efficiency
- Future directions

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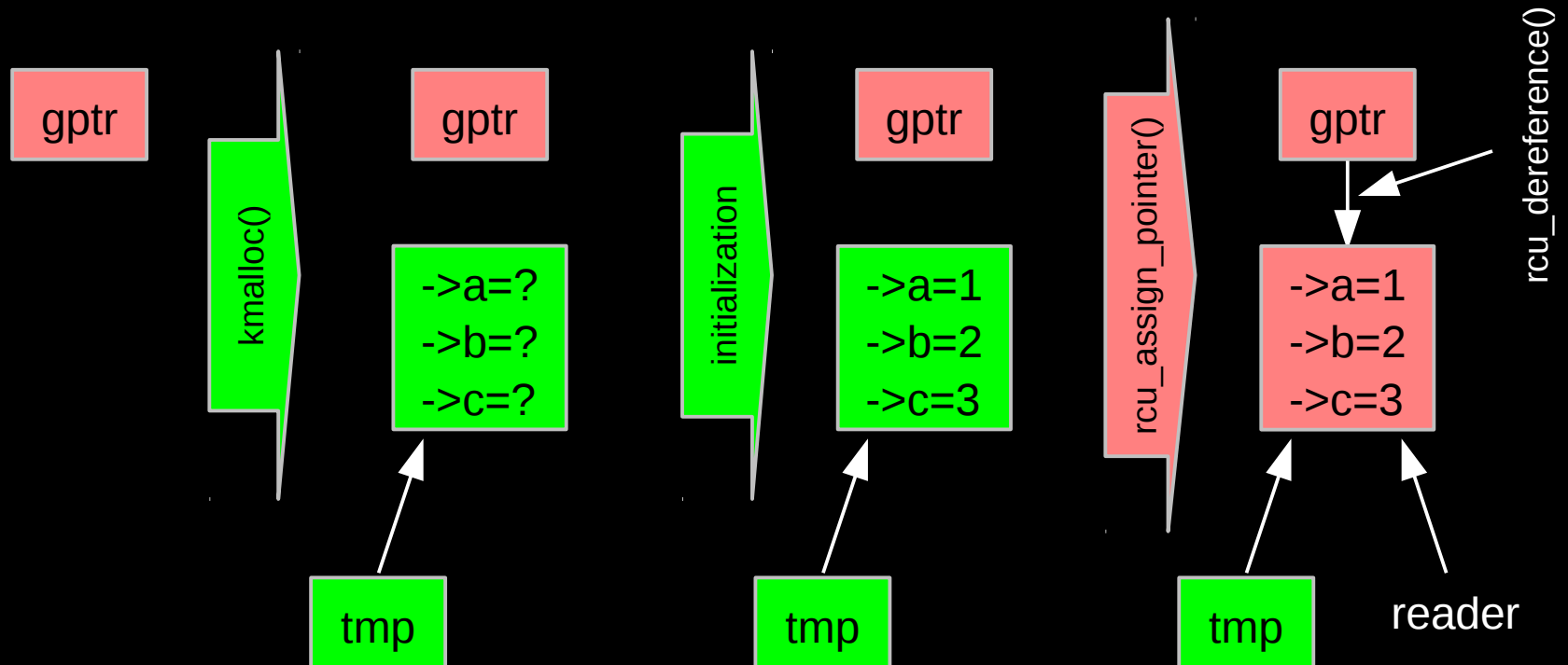
# What is RCU?

# A Very Brief Introduction to RCU

- Synchronization technique sometimes used in place of reader-writer locking
  - Extremely low read-side overhead: can be zero in actual use
    - Extreme performance, scalability, and real-time response
    - “Free is a very good price!”
  - RCU readers progress even in presence of writers and vice versa
- Most useful for read-mostly data: increasingly important
  - Routing tables, security policies, storage configuration, ...
  - All of which could change at any time, but rarely do change in practice
- RCU operation:
  - Publication of and subscription to new data
  - RCU removal from linked list
  - Waiting for pre-existing readers (for zero-cost readers)

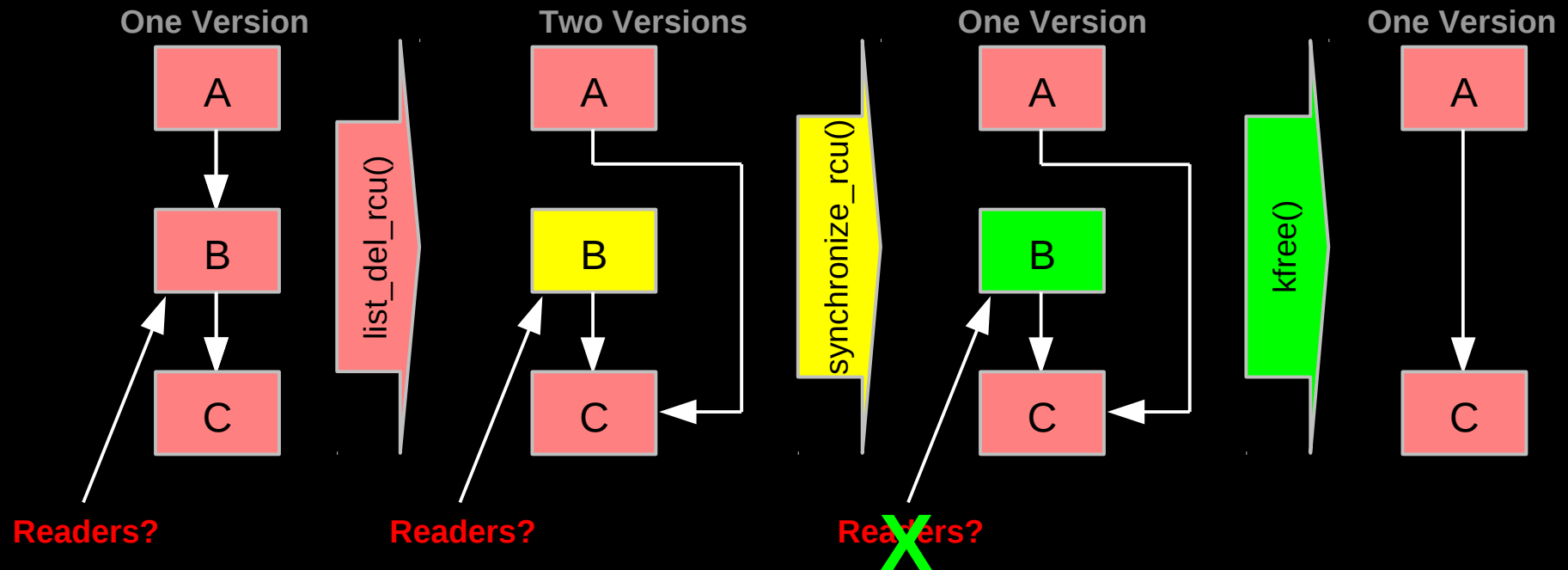
# Publication of And Subscription To New Data

Key:  Dangerous for updates: all readers can access  
 Still dangerous for updates: pre-existing readers can access (next slide)  
 Safe for updates: inaccessible to all readers



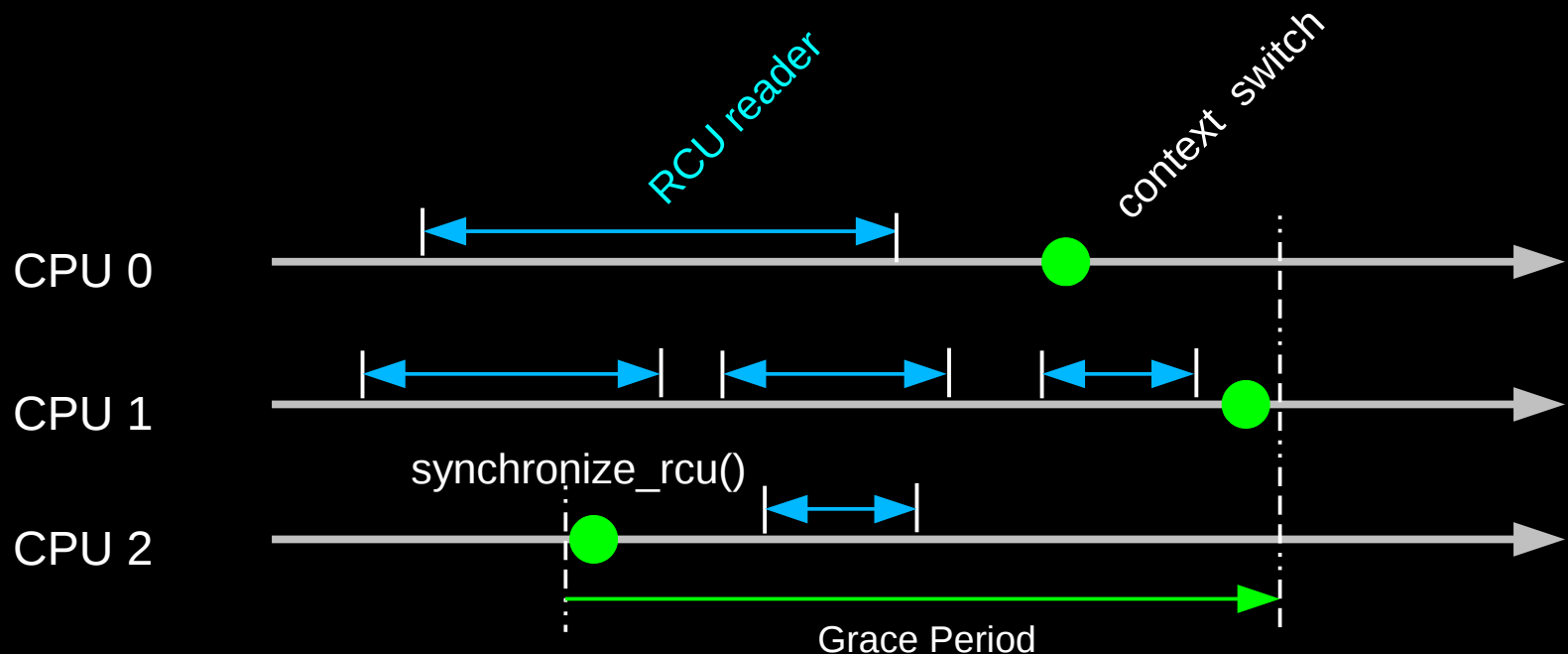
# RCU Removal From Linked List

- Combines waiting for readers and multiple versions:
  - Writer removes element B from the list (`list_del_rcu()`)
  - Writer waits for all readers to finish (`synchronize_rcu()`)
  - Writer can then free B (`kfree()`)

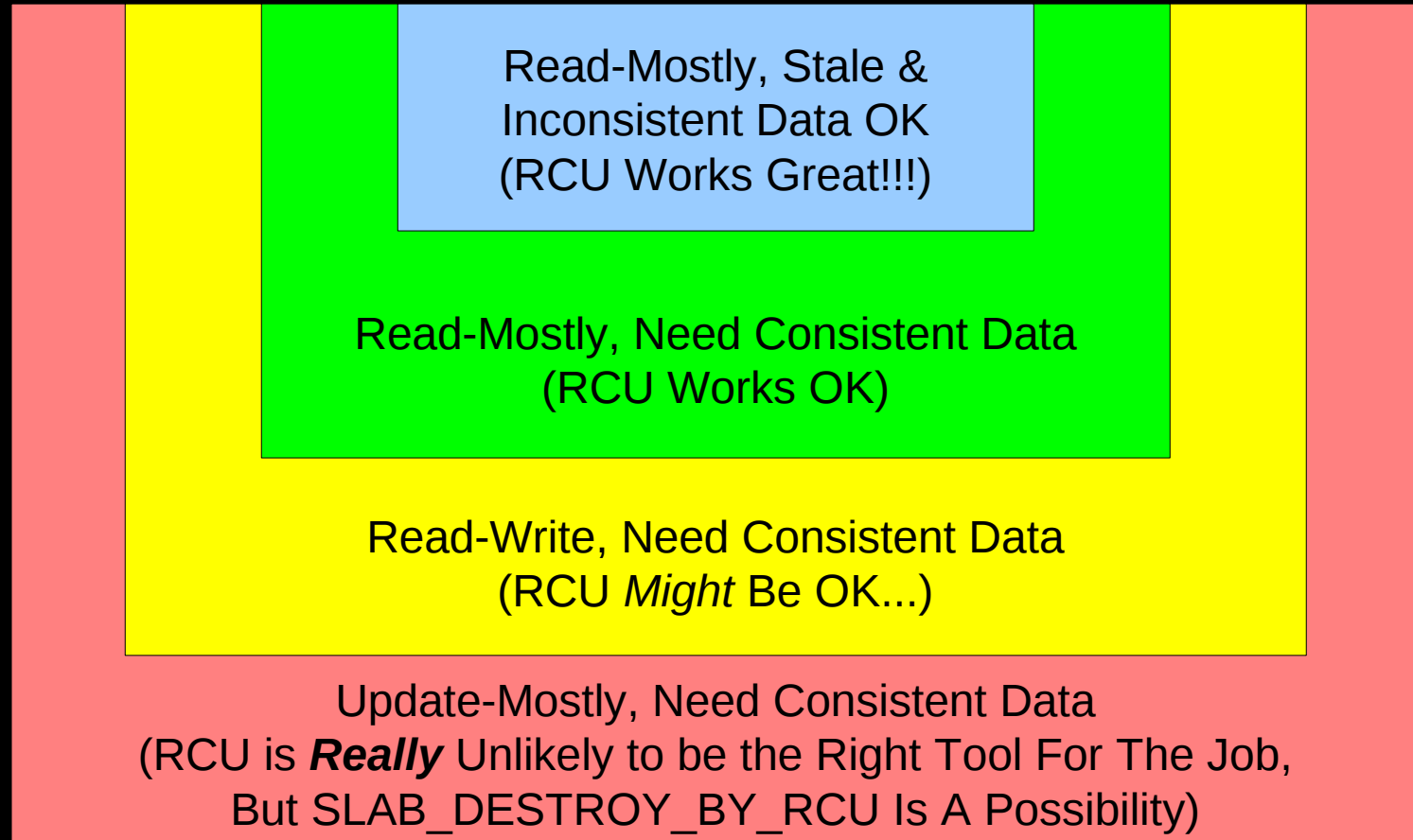


# Waiting for Pre-Existing Readers

- Non-preemptive environment (`CONFIG_PREEMPT=n`)
  - RCU readers are not permitted to block
  - Same rule as for tasks holding spinlocks
- CPU context switch means all that CPU's readers are done
- *Grace period* ends after all CPUs execute a context switch



# RCU Area of Applicability



***Use the right tool for the job!!!***



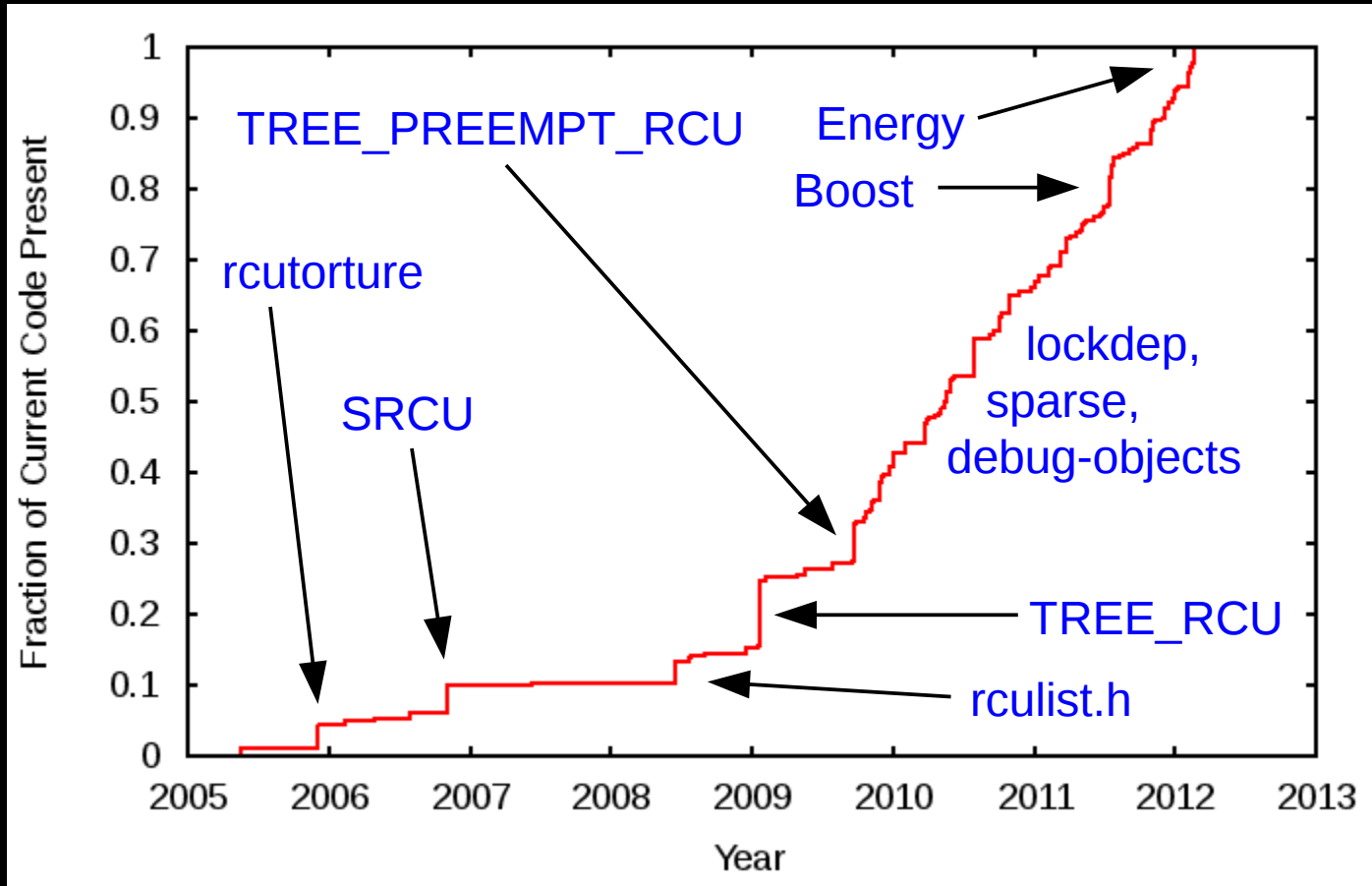
## For More Information on RCU...

- Documentation/RCU in the Linux<sup>®</sup> kernel source code
- “User-Level Implementations of Read-Copy Update” (Mathieu Desnoyers et al.)
  - <http://doi.ieeecomputersociety.org/10.1109/TPDS.2011.159>
- “The RCU API, 2010 Edition”
  - <http://lwn.net/Articles/418853/>
- “What is RCU” LWN series
  - <http://lwn.net/Articles/262464/> (What is RCU, Fundamentally?)
  - <http://lwn.net/Articles/263130/> (What is RCU's Usage?)
  - <http://lwn.net/Articles/264090/> (What is RCU's API?)
- “Introducing technology into the Linux kernel: a case study”
  - <http://doi.acm.org/10.1145/1400097.1400099>
- “Meet the Lockers” (Neil Brown)
  - <http://lwn.net/Articles/453685/>
- “Read-Copy Update” (2001 OLS paper, still used in a number of college courses)
  - <http://www.linuxsymposium.org/2001/abstracts/readcopy.php>
- Plus more at: <http://www.rdrop.com/users/paulmck/RCU>

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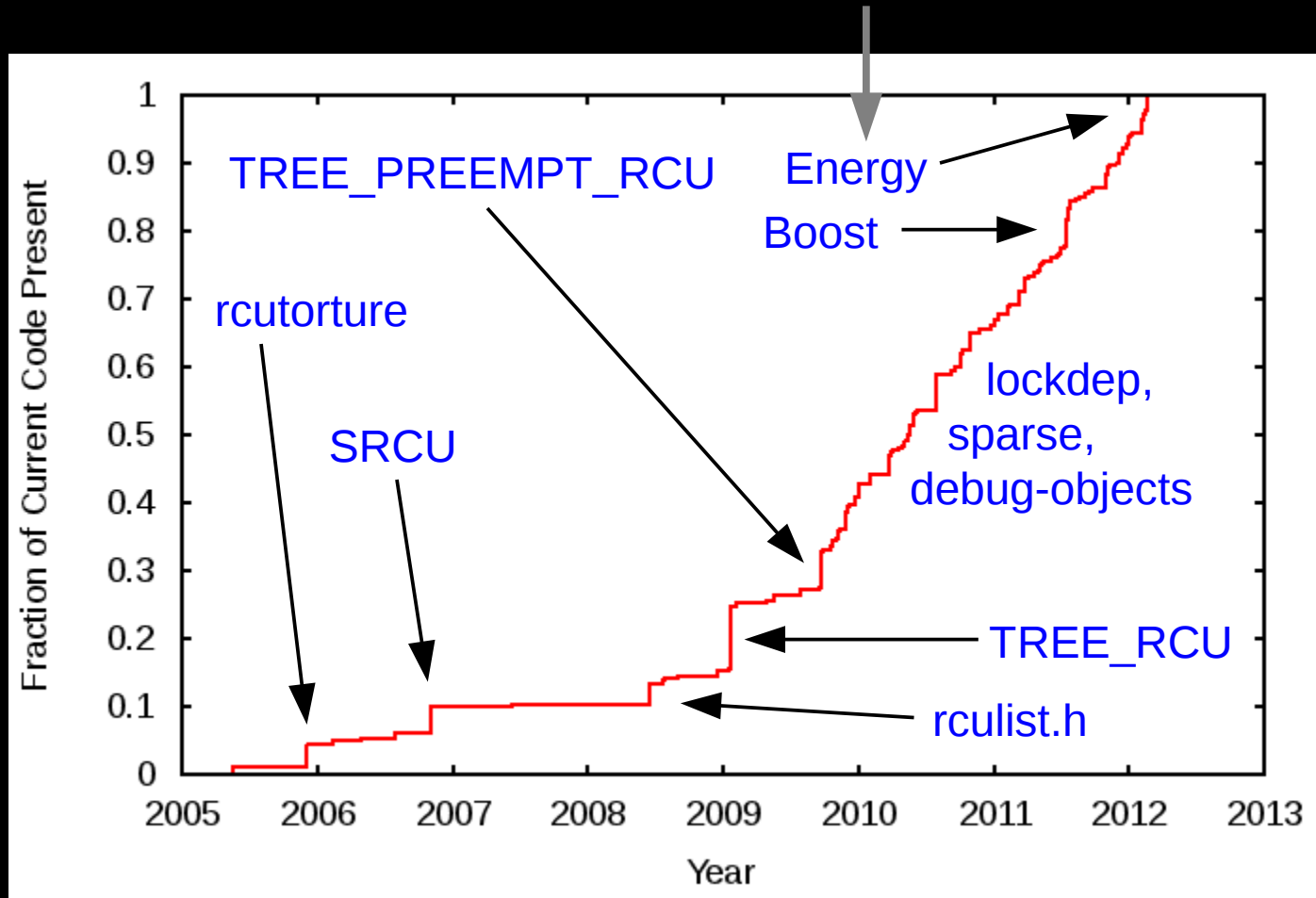
# “The Good Old Days”

# Not Much “Good Old Days” Code Left in RCU



# Not Much “Good Old Days” Code Left in RCU

Why did I wait until 2011 to conserve energy???



## Why Did I Wait Until 2011 to Conserve Energy?

- The fact is that I didn't wait until 2011!!!
- But RCU's energy-efficiency code is unusual in that it has been rewritten a great many times
  - RCU has been concerned about energy efficiency for about ten years
  - Not much energy-efficiency code in RCU in the 1990s: Why?
- Other minor changes:
  - Expedited grace periods
  - Additions to rcutorture
  - Additional list-traversal primitives
  - Reworking of CPU hotplug code
  - Plus the usual list of fixes, improvements, and adaptations

## “The Good *Really* Old Days”

- RCU used by DYNIX/ptx: Heavy database servers
- Used for a number of applications:
  - Fraud detection in large financial systems
  - Inventory monitoring/control for large retail firms
  - Rental car tracking/billing
  - Manufacturing coordination/control
    - Including manufacturing of airliners

# Airliner Manufacturing Plants Have Lots of These:



Author: William M. Plate Jr. (Public Domain)

# Airliner Manufacturing Plants Have Lots of These

At About 40KW Each



Author: William M. Plate Jr. (Public Domain)



# And if You Think That *Welders* Are Power-Hungry...



GE90-115B turbofan - front {{Le Bourget 2005}} Copyright © 2005 David Monniaux {{GFDL}} {{cc-by-sa-2.0}} {{cc-by-sa-2.0-fr}}

## If You Are Running a Bunch of Welders or Turbines...

- Not only are you not going to care much about RCU's contribution to power consumption...

## If You Are Running a Bunch of Welders or Turbines...

- Not only are you not going to care much about RCU's contribution to power consumption...
- You are not going to care much about the whole server's contribution to power consumption!
- But of course things look very different for small battery-powered devices...

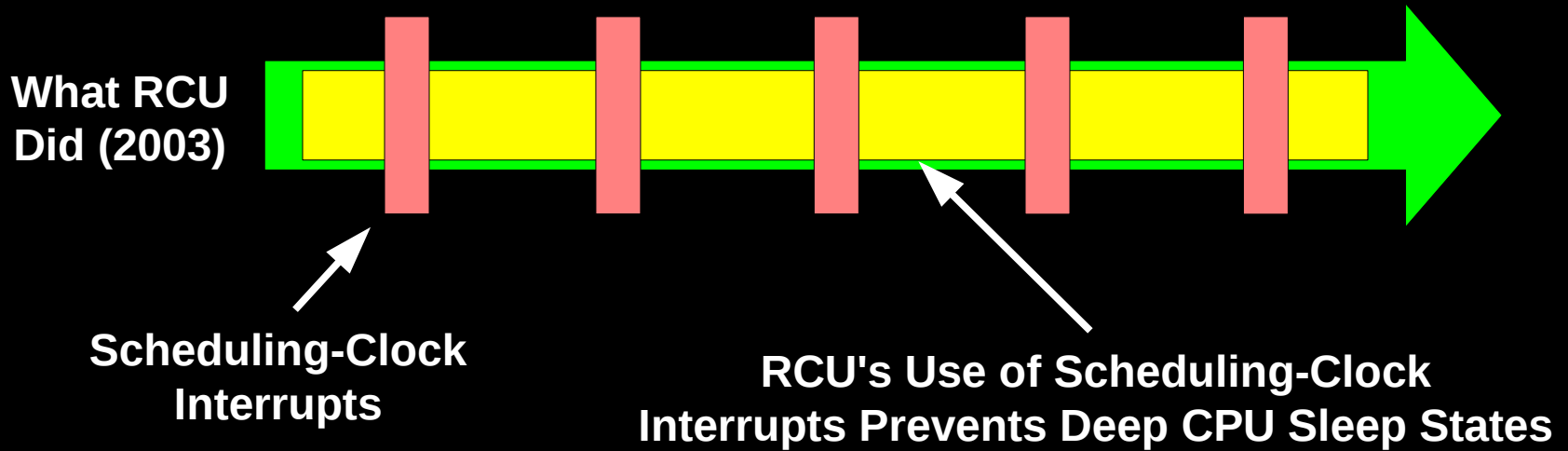
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# RCU's Many Energy-Efficiency Implementations

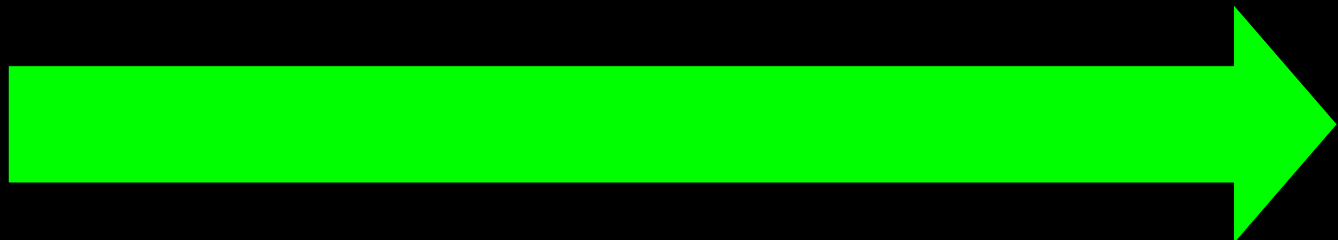
## Initial RCU Did Have One Energy-Efficiency Feature

- Initial DYNIX/ptx RCU had light-weight read-side primitives
  - “Free” is a *very* good price!!!
- This meant that the system returned to idle more quickly than it would with heavier-weight synchronization primitives
  - But 1990s systems consumed more power idle than when running!
  - This was because the idle loop fit into cache, thus allowing the CPU to execute useless instructions at a very high rate
- But today's CPUs have many energy-efficiency features
  - And have very low idle power, especially for long-duration idle periods
- So why does RCU need to worry about energy efficiency???
  - After all, it is just a synchronization primitive!!!

# RCU Driven From Scheduling Clock Interrupt

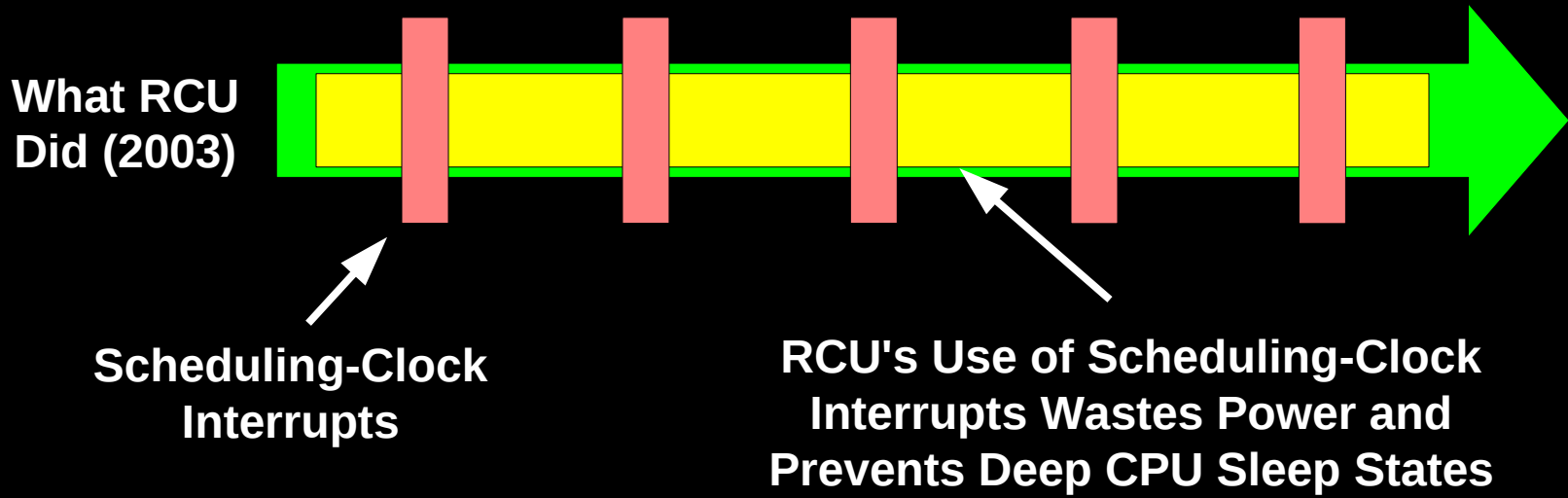


What Is Required



No Scheduling-Clock Interrupts, CPU Enters Deep Sleep

# RCU Driven From Scheduling Clock Interrupt



**Which is why RCU has a dyntick-idle subsystem!**

## RCU and Dyntick Idle (AKA CONFIG\_NO\_HZ=y)

- List of implementations:
  - 2004: Dyntick-idle bit vector
    - Manfred Spraul locates theoretical bug



## RCU and Dyntick Idle (AKA CONFIG\_NO\_HZ=y)

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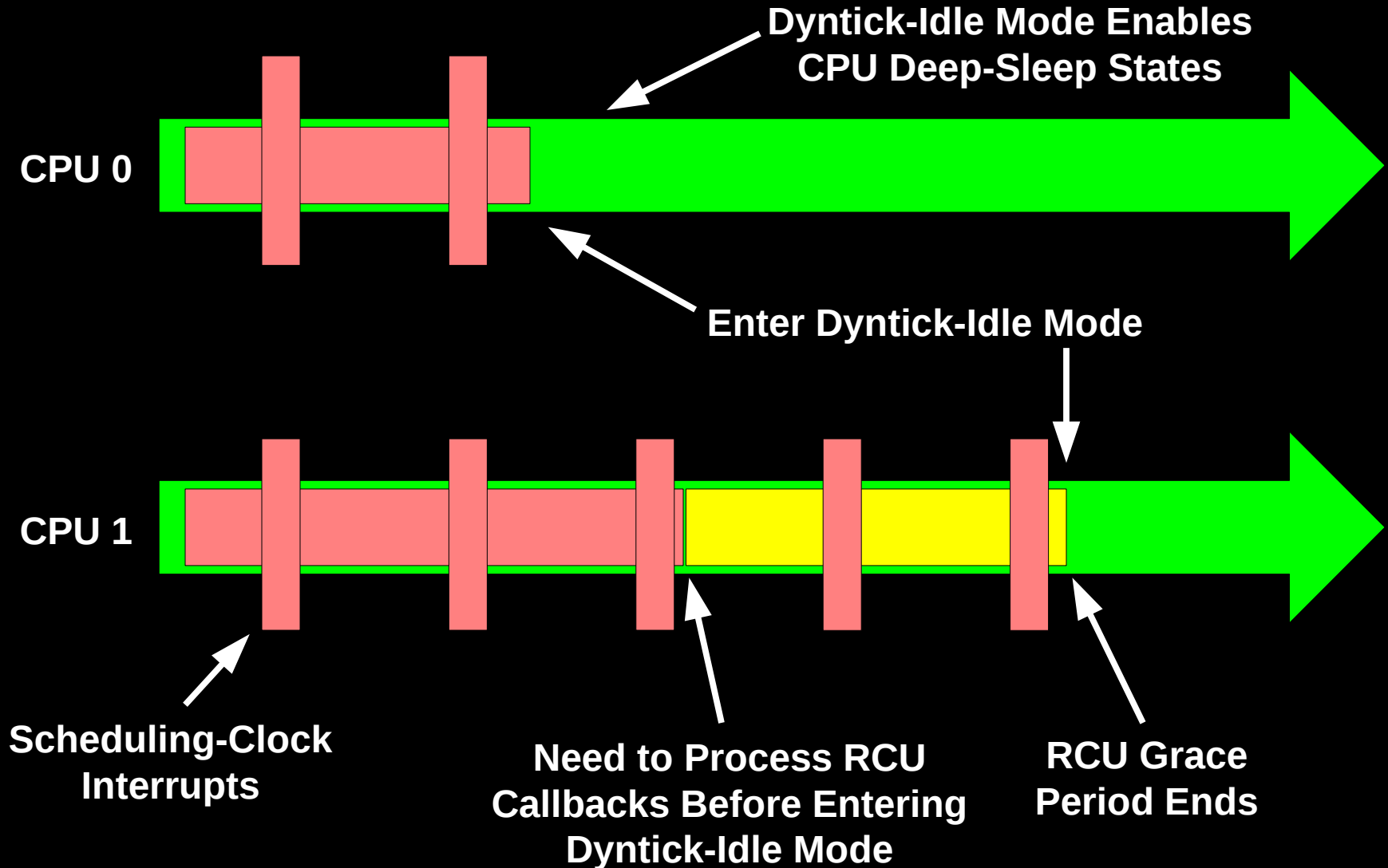
## RCU and Dyntick Idle (AKA CONFIG\_NO\_HZ=y)

- List of implementations:
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## RCU and Dyntick Idle (AKA CONFIG\_NO\_HZ=y)

- List of implementations:
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  - 2008: -rt version (with Steven Rostedt)
    - Very complex: <http://lwn.net/Articles/279077/>
  - 2009: Separate out NMI accounting
    - Greatly simplified: No proof of correctness required ;-)

# RCU and Dyntick Idle as of Early 2010



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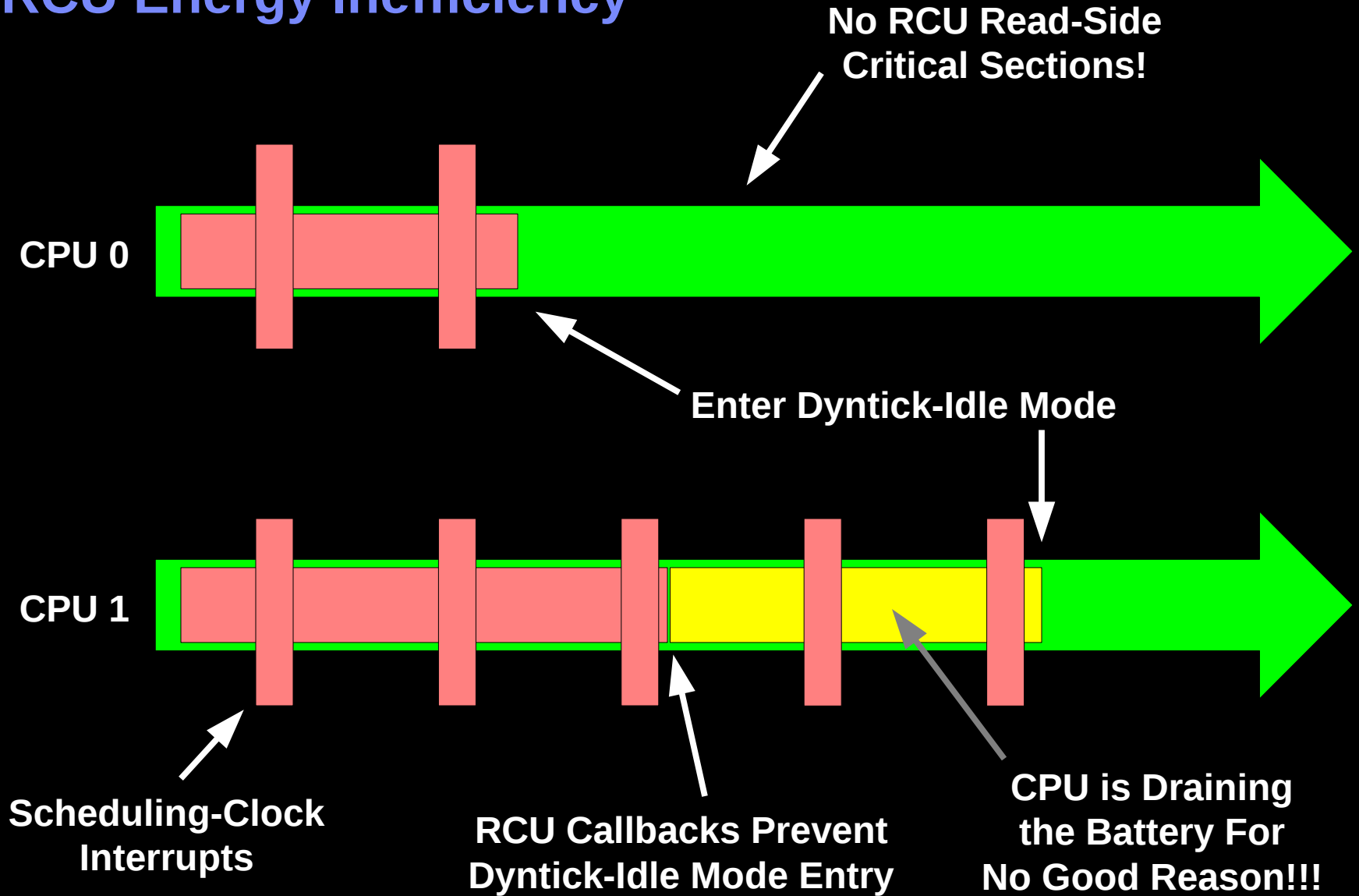
# So RCU is Perfectly Energy Efficient, Right?

## So RCU is Perfectly Energy Efficient, Right?

- Well, I thought that RCU was *very* energy efficient
- Then in early 2010 I got a call from someone working on a battery-powered multicore system
- And he was *very* upset with RCU

▪ Why?

# RCU Energy Inefficiency

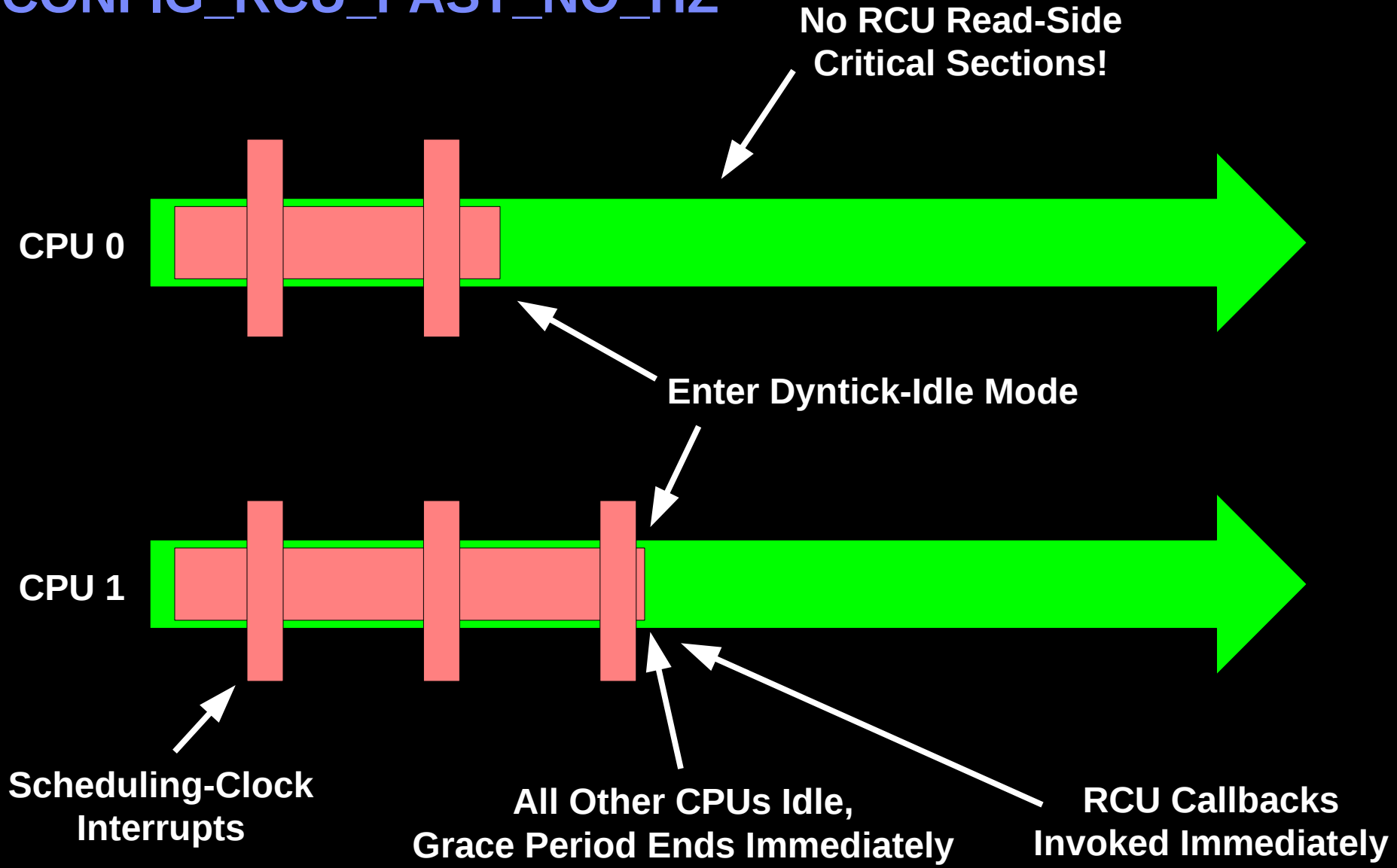


## RCU and Dyntick Idle (AKA CONFIG\_NO\_HZ=y)

- List of implementations:
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  - 2009: Separate out NMI accounting
    - Greatly simplified: No proof of correctness required
  - 2010: CONFIG\_RCU\_FAST\_NO\_HZ for small systems
    - Force last CPU into dyntick-idle mode



# CONFIG\_RCU\_FAST\_NO\_HZ



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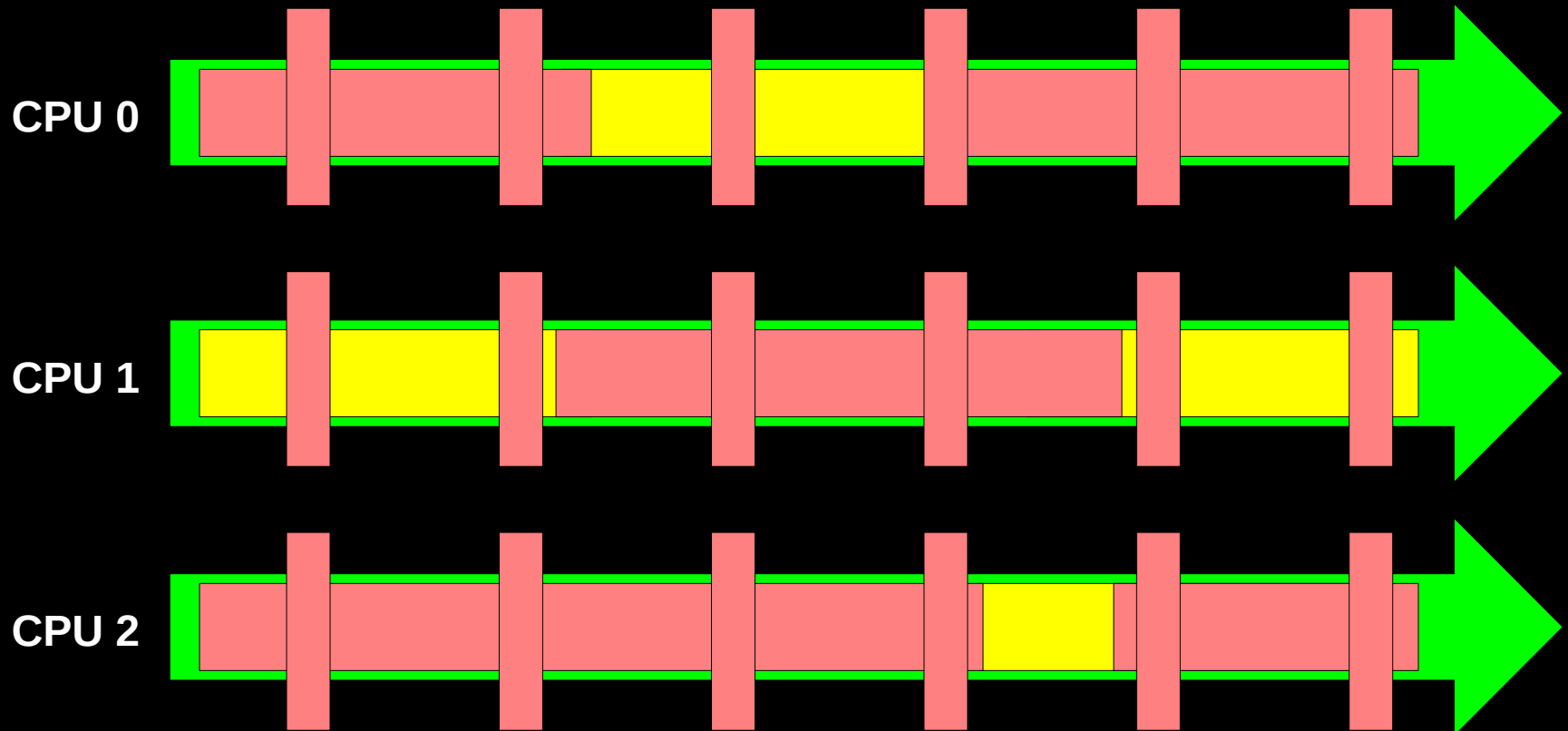
# So RCU is Perfectly Energy Efficient, Right?

## So RCU is Perfectly Energy Efficient, Right?

- This time, I was wiser:
  - I suspected CONFIG\_FAST\_NO\_HZ needed on large systems
- And someone mentioned this to me in late 2011
- But some things never change: He was *very* upset with RCU

▪ Why?

# Might Never Have All But One CPU Dyntick-Idled!!!

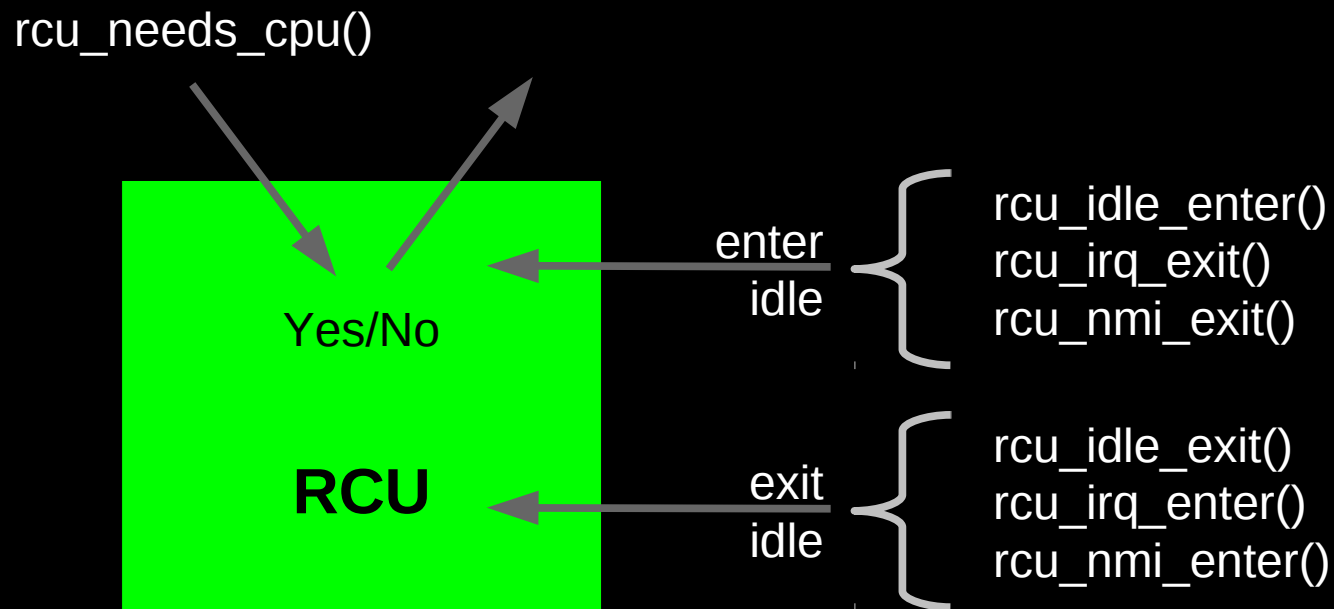


**The more CPUs you have, the worse this effect gets**

## RCU and Dyntick Idle (AKA CONFIG\_NO\_HZ=y)

- List of implementations:
  - 2004: Dyntick-idle bit vector
    - Manfred Spraul locates theoretical bug
    - A few months before the mainframe guys encounter it
    - But after it has been in-tree for *four* years
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  - 2009: Separate out NMI accounting
    - Greatly simplified: No proof of correctness required
  - 2010: CONFIG\_RCU\_FAST\_NO\_HZ for small systems
    - Force last CPU into dyntick-idle mode
  - 2012: CONFIG\_RCU\_FAST\_NO\_HZ for large systems
    - Force CPUs with callbacks into dyntick-idle, but wake them up later

# CONFIG\_RCU\_FAST\_NO\_HZ for Large Systems



# CONFIG\_RCU\_FAST\_NO\_HZ for Large Systems

## ■ Constraints:

- The RCU core code is a state machine driven out of the scheduling-clock interrupt handler that runs primarily in softirq context
- Cannot indefinitely delay callbacks: would otherwise result in hangs
- Cannot spin indefinitely trying to enter dyntick-idle mode
  - At some point it is better to accept periodic scheduling-clock interrupts
- Need to control idle-entry overhead if entering/exiting idle frequently
- Cannot use conventional looping constructs due to deadlock issues
- Cannot assume that `rcu_needs_cpu()` is called in a quiescent state
- Some architectures enter interrupt handlers that they never exit
  - And vice versa

## Initial Version of Code

```
void rcu_prepare_for_idle(int cpu)
{
    int i;

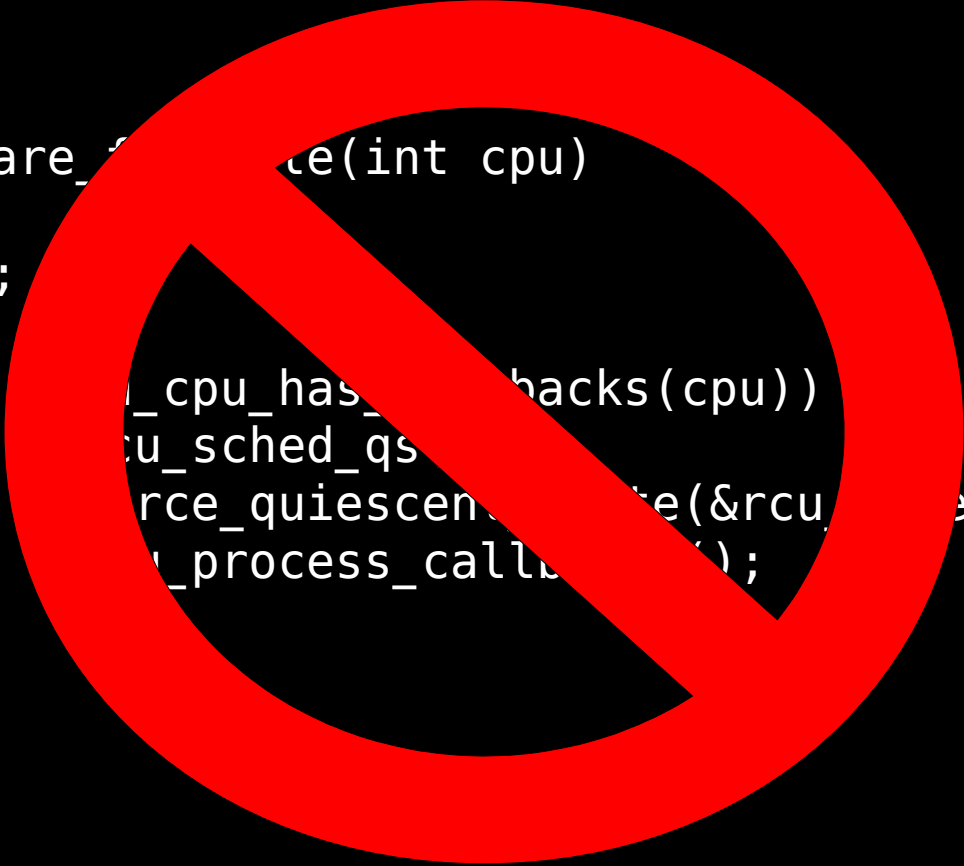
    while (rcu_cpu_has_callbacks(cpu)) {
        rcu_sched_qs();
        force_quiescent_state(&rcu_sched_state, 0);
        rcu_process_callbacks();
    }
}
```



## Initial Version of Code

```
void rcu_prepare_for_quiescence(int cpu)
{
    int i;

    while (rcu_cpu_has_callbacks(cpu))
        rcu_sched_qs(rcu_quiescent_state(&rcu_state, 0));
}
}
```



RCU callbacks might spawn more RCU callbacks indefinitely  
Better a scheduling-clock interrupt than spinning while idle!

## Limit Number of Attempts to RCU\_IDLE\_FLUSHES

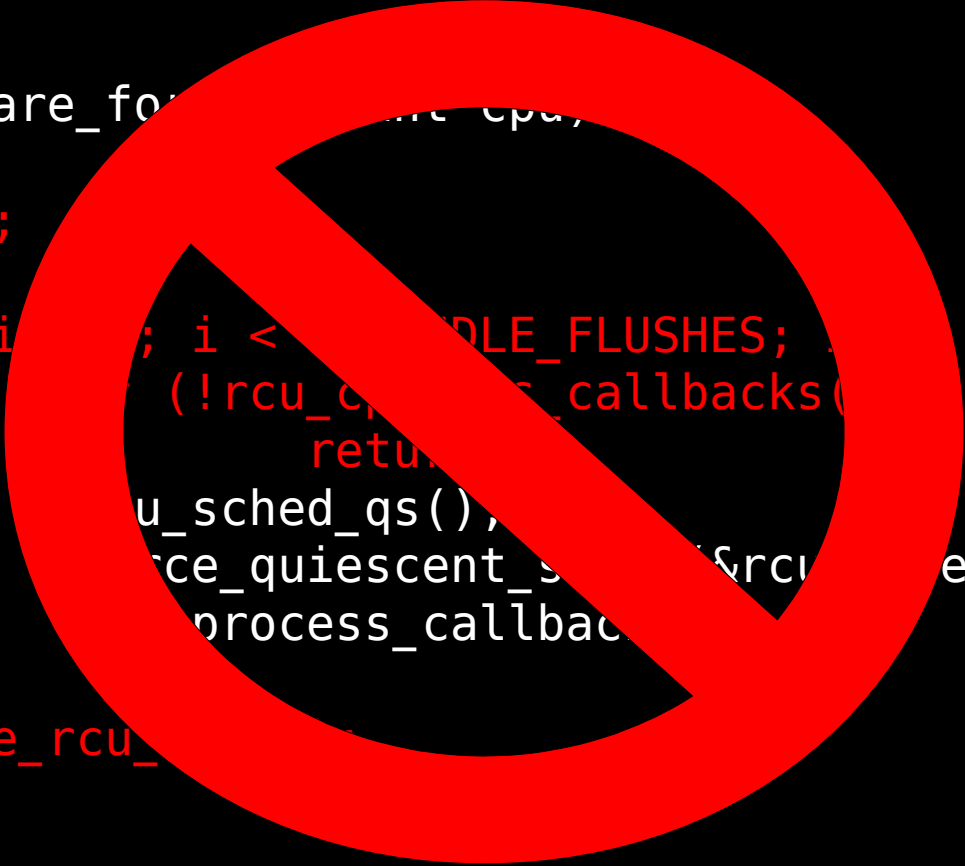
```
void rcu_prepare_for_idle(int cpu)
{
    int i;

    for (i = 0; i < RCU_IDLE_FLUSHES; i++) {
        if (!rcu_cpu_has_callbacks(cpu))
            return;
        rcu_sched_qs();
        force_quiescent_state(&rcu_sched_state, 0);
        rcu_process_callbacks();
    }
    invoke_rcu_core();
}
```

## Limit Number of Attempts to RCU\_IDLE\_FLUSHES

```
void rcu_prepare_for_idle_cpu(
{
    int i;

    for (i = 0; i < RCU_IDLE_FLUSHES; i++) {
        (!rcu_cpu_has_callbacks(
            return;
        u_sched_qs(),
        ce_quiescent_state(&rcu_state, 0));
        process_callbacks(
    }
    invoke_rcu_
}
```



High overhead for frequent switches to idle!

## Hold Off Future Attempts if Unsuccessful

```
DEFINE_PER_CPU(unsigned long, rcu_dyntick_holdoff);

void rcu_prepare_for_idle(int cpu)
{
    int i;

    if (per_cpu(rcu_dyntick_holdoff, cpu) == jiffies)
        return;
    for (i = 0; i < RCU_IDLE_FLUSHES; i++) {
        if (!rcu_cpu_has_callbacks(cpu))
            return;
        rcu_sched_qs();
        force_quiescent_state(&rcu_sched_state, 0);
        rcu_process_callbacks();
    }
    if (rcu_cpu_has_callbacks(cpu)) {
        per_cpu(rcu_dyntick_holdoff, cpu) = jiffies;
        invoke_rcu_core();
    }
}
```

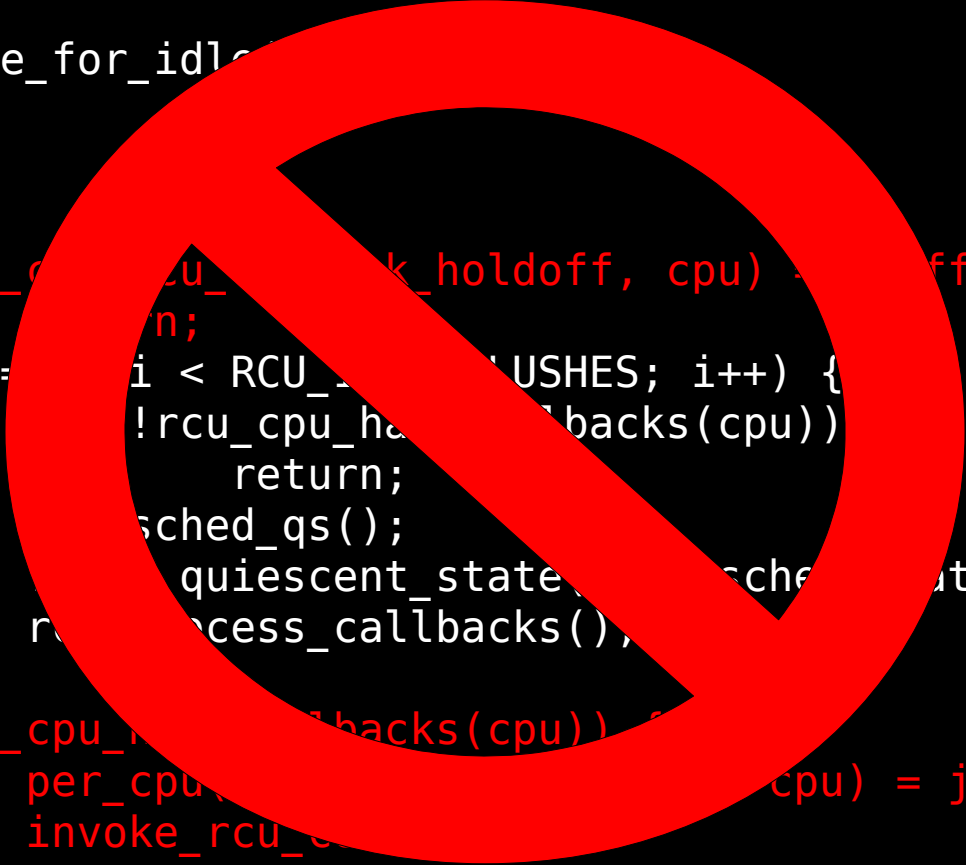
## Hold Off Future Attempts if Unsuccessful

```
DEFINE_PER_CPU(unsigned long, rcu_dyntick_holdoff);

void rcu_prepare_for_idle()
{
    int i;

    if (per_cpu(rcu_dyntick_holdoff, cpu) > jiffies)
        return;

    for (i = 0; i < RCU_CPU_PUSHES; i++) {
        if (!rcu_cpu_has_callbacks(cpu))
            return;
        rcu_sched_qs();
        rcu_quiescent_state(rcu_scheduler_state, 0);
        rcu_access_callbacks();
    }
    if (rcu_cpu_has_callbacks(cpu))
        per_cpu(rcu_dyntick_holdoff, cpu) = jiffies;
    invoke_rcu_callbacks();
}
}
```



Cannot clear all RCU callbacks often enough!

## Allow Idle with Callbacks: Set Timer

```
DEFINE_PER_CPU(unsigned long, rcu_dyntick_holdoff);
DEFINE_PER_CPU(struct hrtimer, ... );

void rcu_prepare_for_idle(int cpu)
{
    int i;

    if (per_cpu(rcu_dyntick_holdoff, cpu) == jiffies)
        return;
    for (i = 0; i < RCU_IDLE_FLUSHES; i++) {
        if (!rcu_cpu_has_callbacks(cpu))
            return;
        rcu_sched_qs();
        force_quiescent_state(&rcu_sched_state, 0);
        rcu_process_callbacks();
    }
    if (rcu_cpu_has_callbacks(cpu))
        if (rcu_pending()) {
            per_cpu(rcu_dyntick_holdoff, cpu) = jiffies;
            invoke_rcu_core();
        } else
            hrtimer_start( ... );
}
```

## Allow Idle with Callbacks: Set Timer

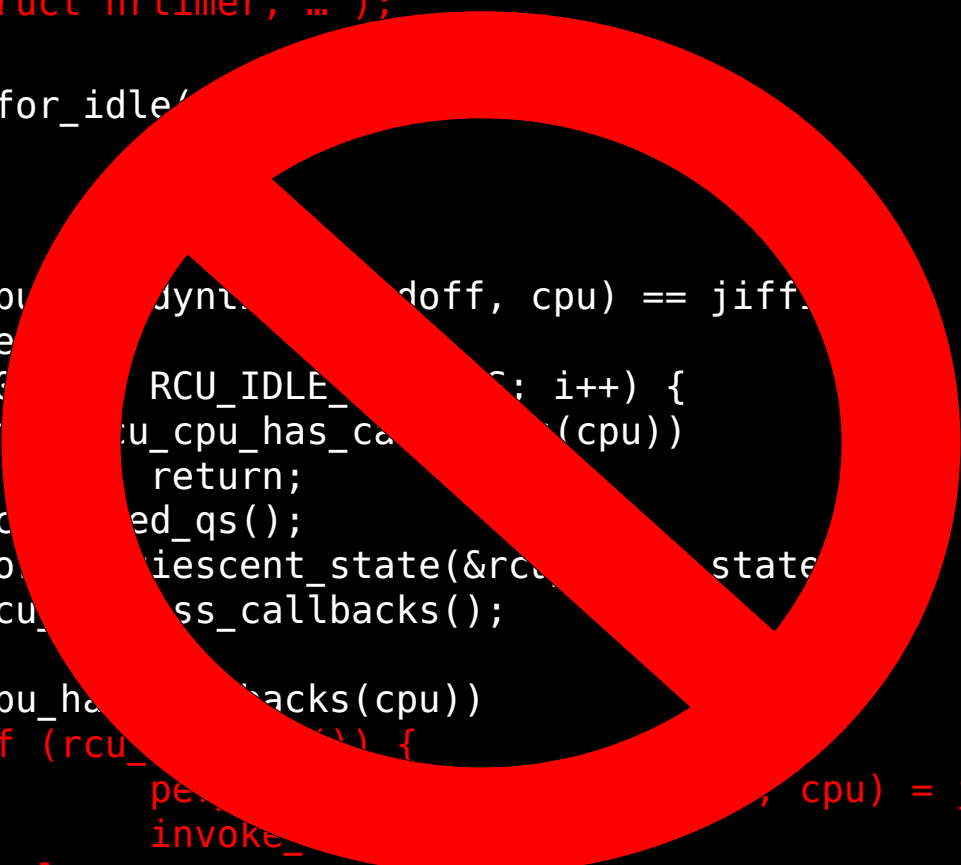
```

DEFINE_PER_CPU(unsigned long, rcu_dyntick_holdoff);
DEFINE_PER_CPU(struct hrtimer, ... );

void rcu_prepare_for_idle(
{
    int i;

    if (per_cpu(rcu_dyntick_holdoff, cpu) == jiffies)
        return;
    for (i = 0; i < RCU_IDLE_STATES; i++) {
        if (rcu_cpu_has_callbacks(cpu))
            return;
        rcu_dyntick_holdoff++;
        for (state = rcu_idle_state; state < RCU_IDLE_STATES; state++)
            rcu_dyntick_holdoff++;
    }
    if (rcu_cpu_has_callbacks(cpu))
        If (rcu_dyntick_holdoff == jiffies) {
            per_cpu(rcu_dyntick_holdoff, cpu) = jiffies;
            invoke_rcu_callbacks(cpu);
        } else
            hrtimer_start( ... );
}

```



Results in useless hrtimer events!!!

# Allow Idle with Callbacks: Set and Cancel Timer

```
DEFINE_PER_CPU(unsigned long, rcu_dyntick_holdoff);
DEFINE_PER_CPU(struct hrtimer, ... );

void rcu_prepare_for_idle(int cpu)
{
    int i;

    if (per_cpu(rcu_dyntick_holdoff, cpu) == jiffies)
        return;
    for (i = 0; i < RCU_IDLE_FLUSHES; i++) {
        if (!rcu_cpu_has_callbacks(cpu))
            return;
        rcu_sched_qs();
        force_quiescent_state(&rcu_sched_state, 0);
        rcu_process_callbacks();
    }
    if (rcu_cpu_has_callbacks(cpu))
        if (rcu_pending()) {
            per_cpu(rcu_dyntick_holdoff, cpu) = jiffies;
            invoke_rcu_core();
        } else
            hrtimer_start( ... );
}

void rcu_cleanup_after_idle(int cpu)
{
    hrtimer_cancel(( ... ));
}
```



# Allow Idle with Callbacks: Set and Cancel Timer

```

DEFINE_PER_CPU(unsigned long, rcu_dyntick_holdoff);
DEFINE_PER_CPU(struct hrtimer, ... );

void rcu_prepare_for_idle(int cpu)
{
    int i;

    if (per_cpu(rcu_dyntick_holdoff, cpu) == jiffies)
        return;
    for (i = 0; i < RCU_IDLE_FLAGS; i++) {
        if (rcu_has_callbacks(cpu))
            return;
        rcu_dyntick_holdoff(cpu);
        force_rcu_idle_state(&rcu_state, 0);
        rcu_prepare_callbacks();
    }
    if (rcu_cpu_has_callbacks(cpu))
        if (rcu_has_callbacks(cpu)) {
            rcu_dyntick_holdoff(cpu);
            in_rcu_idle_state();
        } else
            hrtimer_...
}

void rcu_cleanup_after_idle(int cpu)
{
    hrtimer_cancel(... );
}

```

**kfree\_rcu() callbacks don't need timer!!!**

# Allow Idle with Callbacks: Lazy RCU Callbacks

```
DEFINE_PER_CPU(unsigned long, rcu_dyntick_holdoff);
DEFINE_PER_CPU(struct hrtimer, ... );

void rcu_prepare_for_idle(int cpu)
{
    int i;

    if (per_cpu(rcu_dyntick_holdoff, cpu) == jiffies)
        return;
    for (i = 0; i < RCU_IDLE_FLUSHES; i++) {
        if (!rcu_cpu_has_callbacks(cpu))
            return;
        rcu_sched_qs();
        force_quiescent_state(&rcu_sched_state, 0);
        rcu_process_callbacks();
    }
    if (rcu_cpu_has_callbacks(cpu))
        if (rcu_pending()) {
            per_cpu(rcu_dyntick_holdoff, cpu) = jiffies;
            invoke_rcu_core();
        } else if (rcu_cpu_has_nonlazy_callbacks())
            hrtimer_start( ... );
}

void rcu_cleanup_after_idle(int cpu)
{
    hrtimer_cancel(( ... ));
}
```

# Allow Idle with Callbacks: Lazy RCU Callbacks

```

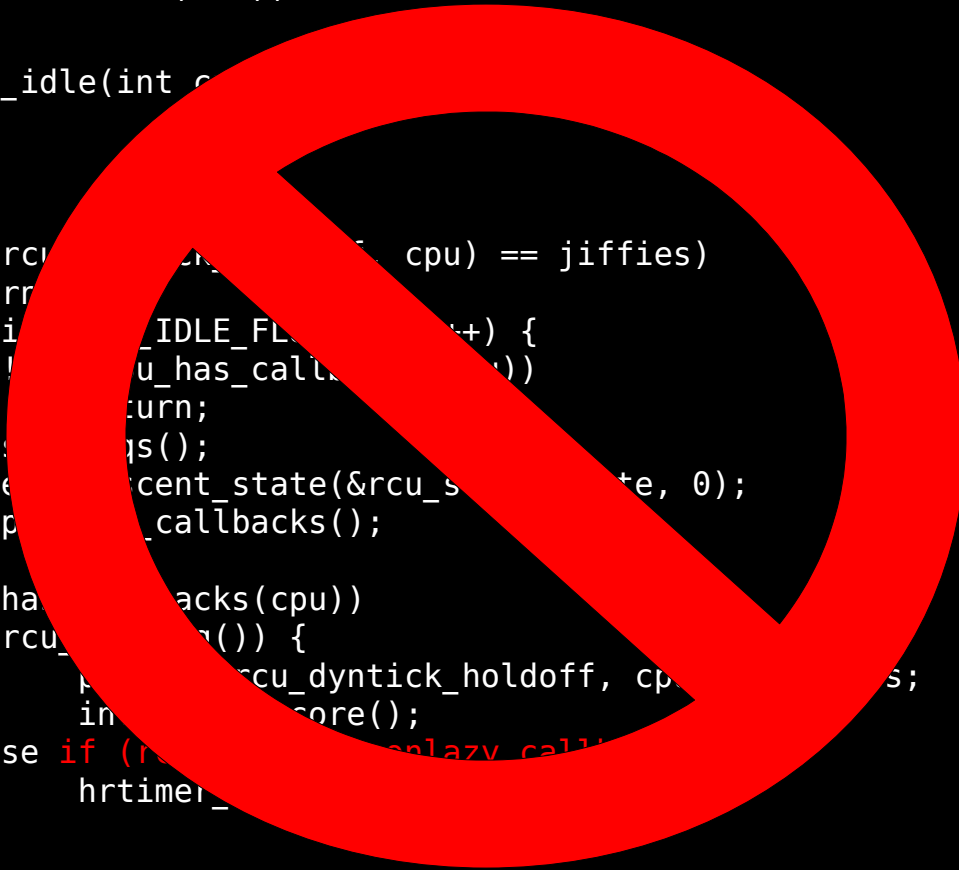
DEFINE_PER_CPU(unsigned long, rcu_dyntick_holdoff);
DEFINE_PER_CPU(struct hrtimer, ... );

void rcu_prepare_for_idle(int cpu)
{
    int i;

    if (per_cpu(rcu_dyntick_holdoff, cpu) == jiffies)
        return;
    for (i = 0; i < IDLE_FL_CALLBACKS; i++) {
        if (rcu_cpu_has_callbacks(cpu))
            return;
        rcu_dyntick_holdoff(cpu);
        force_rcu_pending_state(&rcu_state, 0);
        rcu_prepare_callbacks();
    }
    if (rcu_cpu_has_callbacks(cpu))
        if (rcu_dyntick_holdoff(cpu)) {
            rcu_dyntick_holdoff(cpu);
            rcu_dyntick_holdoff(cpu);
        } else if (rcu_dyntick_holdoff(cpu) < jiffies)
            hrtimer_start(&per_cpu(rcu_dyntick_holdoff, cpu), jiffies);
}

void rcu_cleanup_after_idle(int cpu)
{
    hrtimer_cancel(&per_cpu(rcu_dyntick_holdoff, cpu));
}

```



51 What if some task wakes??? Scheduling latency!!!

# Controlling Scheduling Latency

```
DEFINE_PER_CPU(unsigned long, rcu_dyntick_holdoff);
DEFINE_PER_CPU(struct hrtimer, ... );

void rcu_prepare_for_idle(int cpu)
{
    int i;

    if (per_cpu(rcu_dyntick_holdoff, cpu) == jiffies)
        return;
    for (i = 0; i < RCU_IDLE_FLUSHES; i++) {
        if (!rcu_cpu_has_callbacks(cpu) || need_resched())
            return;
        rcu_sched_qs();
        force_quiescent_state(&rcu_sched_state, 0);
        rcu_process_callbacks();
    }
    if (rcu_cpu_has_callbacks(cpu))
        if (rcu_pending()) {
            per_cpu(rcu_dyntick_holdoff, cpu) = jiffies;
            invoke_rcu_core();
        } else if (rcu_cpu_has_nonlazy_callbacks())
            hrtimer_start( ... );
}

void rcu_cleanup_after_idle(int cpu)
{
    hrtimer_cancel(( ... ));
}
```

# Controlling Scheduling Latency

```

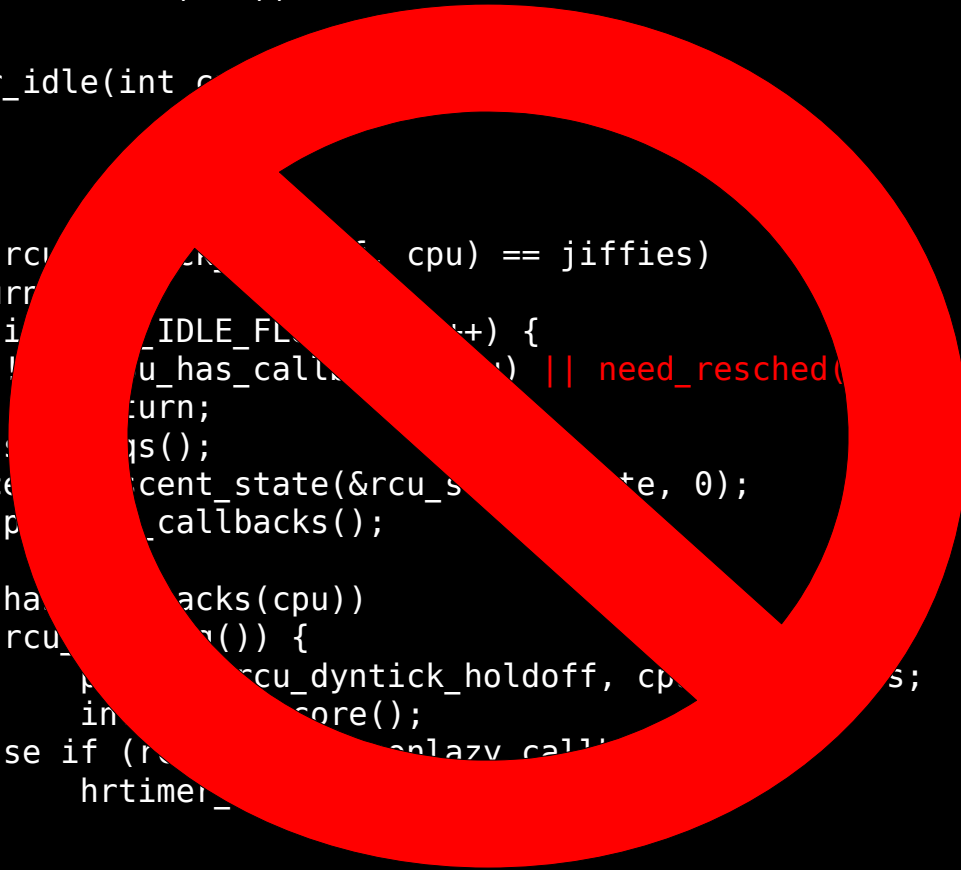
DEFINE_PER_CPU(unsigned long, rcu_dyntick_holdoff);
DEFINE_PER_CPU(struct hrtimer, ... );

void rcu_prepare_for_idle(int cpu)
{
    int i;

    if (per_cpu(rcu_dyntick_holdoff, cpu) == jiffies)
        return;
    for (i = 0; i < RCU_IDLE_FLAGS; i++) {
        if (rcu_cpu_has_callbacks(cpu) || need_resched())
            return;
        rcu_dyntick_holdoff(cpu);
        force_rcu_pending_state(&rcu_state, 0);
        rcu_prepare_callbacks();
    }
    if (rcu_cpu_has_callbacks(cpu))
        if (rcu_dyntick_holdoff(cpu)) {
            rcu_dyntick_holdoff(cpu);
            rcu_dyntick_holdoff(cpu);
        } else if (rcu_dyntick_holdoff(cpu)) {
            rcu_dyntick_holdoff(cpu);
            rcu_dyntick_holdoff(cpu);
        }
}

void rcu_cleanup_after_idle(int cpu)
{
    hrtimer_cancel(&rcu_dyntick_holdoff(cpu));
}

```



Lockdep begs to differ!!!

## Other Issues and Fixes

- Lockdep issues: Use state-machine implementation
  - Per-CPU loop variable
  - Half of loop executed during idle entry
  - The other half is executed within softirq
    - Exiting softirq initiates another idle entry
- Jiffies counter overflow
  - Do “per\_cpu(rcu\_dyntick\_holdoff, cpu) = jiffies – 1” on non-holdoff exit
- The hrtimer handler never is actually executed!
  - Too bad!!! Life is like that sometimes!
- Special case for kfree\_rcu() is OK, but call\_rcu() mostly just frees memory
  - Expect a call\_rcu\_lazy() in a -rcu git tree near you...
- User code incurs scheduling-clock ticks even when only one per CPU
  - Frederic Weisbecker is working on this

---

# Lessons Learned and Relearned

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# A Brief History of RCU Issues

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- 2005: Real-time response (~4 CPUs)
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- Not many people my age can truthfully say that
- Here is hoping for ten more years!!! ;-)



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# Questions