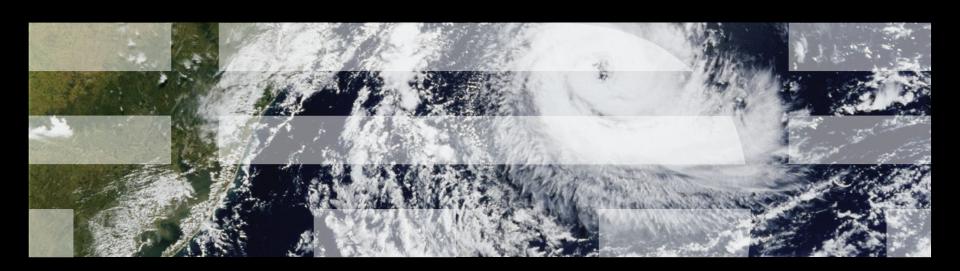




# Linux-Kernel Memory Ordering: Help Arrives At Last!

Joint work with Jade Alglave, Luc Maranget, Andrea Parri, and Alan Stern





#### **Overview**

- Who cares about memory models?
- But memory-barrier.txt is incomplete!
- Project history
- Cat-language example: single-variable SC
- Current status and demo
- Not all communications relations are created equal
- Rough rules of thumb



# **Who Cares About Memory Models?**



### **Example "Litmus Test": Can This Happen?**

```
Thread 0:
    WRITE_ONCE(*x0, 1);
    r1 = READ_ONCE(x1);
Thread 1:
    WRITE_ONCE(*x1, 1);
    r1 = READ_ONCE(x2);
Thread 2:
    WRITE_ONCE(*x2, 1);
    r1 = READ_ONCE(x0);
"Exists" Clause
    (0:r1=0 /\ 1:r1=0 /\ 1:r1=0)
```



# Example "Litmus Test": All CPUs Can Reorder Earlier Writes With Later Reads of Different Variables, So ...

#### Thread 0:

```
WRITE_ONCE(*x0, 1);
r1 = READ_ONCE(x1);
```

#### Thread 1:

```
WRITE_ONCE(*x1, 1);
r1 = READ_ONCE(x2);
```

#### Thread 2:

```
WRITE_ONCE(*x2, 1);
r1 = READ_ONCE(x0);
```

#### "Exists" Clause

```
(0:r1=0 /\ 1:r1=0 /\ 1:r1=0)
```



# Example "Litmus Test": ... This Can Happen!!!

```
Thread 0:
    r1 = READ_ONCE(x1);
    WRITE_ONCE(*x0, 1);
Thread 1:
    r1 = READ_ONCE(x2);
    WRITE_ONCE(*x1, 1);
Thread 2:
    r1 = READ_ONCE(x0);
    WRITE_ONCE(*x2, 1);
"Exists" Clause
    (0:r1=0 /\ 1:r1=0 /\ 1:r1=0)
```



#### **Another Example "Litmus Test": Can This Happen?**

```
Thread 0:
    WRITE_ONCE(*u0, 3);
    smp_store_release(x1, 1);
Thread 1:
    r1 = smp_load_acquire(x1);
    r2 = READ_ONCE(*v0);
Thread 2:
    WRITE_ONCE(*v0, 1);
    smp_mb();
    r2 = READ_ONCE(*u0);
"Exists" Clause
    (1:r2=0 /\ 2:r2=0 /\ 1:r1=1)
```



# Who Cares About Memory Models, and If So, Why???

- Hoped-for benefits of a Linux-kernel memory model
  - -Memory-ordering education tool
  - -Core-concurrent-code design aid
  - -Ease porting to new hardware and new toolchains
  - -Basis for additional concurrency code-analysis tooling
    - For example, CBMC and Nidhugg (CBMC now part of rcutorture)
- Likely drawbacks of a Linux-kernel memory model
  - Extremely limited code size
    - Analyze concurrency core of algorithm
    - Maybe someday automatically identifying this core
    - Perhaps even automatically stitch together multiple analyses (dream on!)
  - -Limited types of operations (no function call, structures, call\_rcu(), ...)
    - Can emulate some of these
    - We expect that tools will become more capable over time
    - (More on this on a later slide)



# **But memory-barrier.txt is Incomplete!**



#### But memory-barrier.txt is Incomplete!

- (The memory-barriers.txt file defines the kernel's memory model)
- The Linux kernel has left many corner cases unexplored
  - -David, Peter, Will, and I added cases as requested: Organic growth
  - -The Linux-kernel memory model must define many of them
- Guiding principles:
  - -Strength preferred to weakness
  - -Simplicity preferred to complexity
  - -Support existing non-buggy Linux-kernel code (later slide)
  - -Be compatible with hardware supported by the Linux kernel (later slide)
  - -Support future hardware, within reason
  - -Be compatible with C11, where prudent and reasonable (later slide)
  - -Expose questions and areas of uncertainty (later slide)
    - Which means not one but two memory models!



# **Project Pre-History**



### **Project Prehistory**

- 2005-present: C and C++ memory models
  - -Working Draft, Standard for Programming Language C++
- 2009-present: x86, Power, and ARM memory models
  - -http://www.cl.cam.ac.uk/~pes20/weakmemory/index.html
- ■2014: Clear need for Linux-kernel memory model, but...
  - -Legacy code, including unmarked shared accesses
  - -Wide range of SMP systems, with varying degrees of documentation
  - -High rate of change: Moving target!!!
- As a result, no takers



### **Project Prehistory**

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- As a result, no takers
- Until early 2015



#### **Our Founder**



#### **Our Founder**



Jade Alglave, University College London and Microsoft Research



#### **Founder's First Act: Adjust Requirements**

Strategy is what you are *not* going to do!



#### Founder's First Act: Adjust Requirements

- Strategy is what you are *not* going to do!
- New Requirements:
  - -Legacy code, including unmarked shared accesses
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#### Founder's First Act: Adjust Requirements

- Strategy is what you are *not* going to do!
- New Requirements:
  - -Legacy code, including unmarked shared accesses
  - -Wide range of SMP systems, with varying degrees of documentation
  - -High rate of change: Moving target!!!
- Adjustment advantage: Solution now feasible!
  - -No longer need to model all possible compiler optimizations...
  - -Optimizations not yet envisioned being the most difficult to model!!!
  - -Jade expressed the model in the "cat" language
    - The "herd" tool uses the "cat" language to process concurrent code fragments, called "litmus tests" (example next slides)
    - Initially used a generic language called "LISA", now C-like language
    - (See next few slides for a trivial example..)



#### Founder's Second Act: Create Prototype Model

- ■And to recruit a guy named Paul E. McKenney (Apr 2015):
  - -Clarifications of less-than-rigorous memory-barriers.txt wording
  - -Full RCU semantics: Easy one! 2+ decades RCU experience!!! Plus:
    - Jade has some RCU knowledge courtesy of ISO SC22 WG21 (C++)
    - "User-Level Implementations of Read-Copy Update", 2012 IEEE TPDS
    - "Verifying Highly Concurrent Algorithms with Grace", 2013 ESOP



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    - Jade has some RCU knowledge courtesy of ISO SC22 WG21 (C++)
    - "User-Level Implementations of Read-Copy Update", 2012 IEEE TPDS
    - "Verifying Highly Concurrent Algorithms with Grace", 2013 ESOP
- Initial overconfidence meets Jade Alglave memory-model acquisition process! (Dunning-Kruger effect in action!!!)
  - -Linux kernel uses small fraction of RCU's capabilities
    - Often with good reason!
  - -Large number of litmus tests, with text file to record outcomes
  - -Followed up by polite but firm questions about why...
  - -For but one example...





```
void P0(void)
                        void P1(void)
                                                 void P2(void)
  rcu read lock();
                           r2 = READ ONCE(x);
                                                   rcu read lock();
  r1 = READ ONCE(y);
                           synchronize rcu();
                                                   _{r}r3 = READ ONCE(z);
  WRITE_ONCE(x, 1);
                           WRITE ONCE(z, 1);
                                                   WRITE ONCE(y, 1);
  rcu read unlock();
                                                    rcu read unlock();
                 BUG ON(r1 == 1 \&\& r2 == 1 \&\& r3 == 1);
   synchronize rcu() waits for pre-existing readers
```



```
void P0(void)
                        void P1(void)
                                                 void P2(void)
  rcu read lock();
                          r2 = READ ONCE(x);
                                                   rcu read lock();
  r1 = READ ONCE(y);
                          synchronize rcu();
                                                   r3 = READ ONCE(z);
  WRITE_ONCE(x, 1);
                          WRITE ONCE(z, 1);
                                                   WRITE ONCE(y, 1);
  rcu read unlock();
                                                   rcu read unlock();
                 BUG ON(r1 == 1 \&\& r2 == 1 \&\& r3 == 1);
   synchronize rcu() waits for pre-existing readers
```

- 1. Any system doing this should have been strangled at birth
- 2. Reasonable systems really do this
- 3. There exist a great many unreasonable systems that really do this
- 4. A memory order is what I give to my hardware vendor!



```
void P0(void)
                         void P1(void)
                                                 void P2(void)
  rcu read lock();
                           r2 = READ ONCE(x);
                                                    rcu read lock();
  r1 = READ ONCE(y);
                           synchronize rcu();
                                                   _{r}r3 = READ ONCE(z);
  WRITE ONCE(x, 1);
                           WRITE ONCE(z, 1);
                                                    WRITE ONCE(y, 1);
  rcu read unlock();
                                                    rcu read unlock();
                 BUG ON(r1 == 1 \&\& r2 == 1 \&\& r3 == 1);
   synchronize rcu() waits for pre-existing readers
```

Litmus-test header comment: "Paul says allowed since mid-June"
No matter what you said, I agreed at some point in time!



```
void P0(void)
                        void P1(void)
                                                 void P2(void)
                          r2 = READ ONCE(x);
  rcu read lock();
                                                   rcu read lock();
  r1 = READ ONCE(y);
                          synchronize rcu();
                                                  _r3 = READ ONCE(z);
                          WRITE ONCE(z, 1);
  WRITE ONCE (x, 1);
                                                   WRITE ONCE(y, 1);
  rcu read unlock();
                                                   rcu read unlock();
                 BUG ON(r1 == 1 \&\& r2 == 1 \&\& r3 == 1);
   synchronize rcu() waits for pre-existing readers
```

Litmus-test header comment: "Paul says allowed since mid-June"
No matter what you said, I agreed at some point in time!
And this wasn't the only litmus test causing me problems!!!



# RCU Litmus Test *Can* Trigger on Weak CPUs "This Cycle is Allowed"

```
void P0(void)
                       void P1(void)
                                                void P2(void)
 rcu read lock();
  WRITE ONCE (x, 1);
                          r2 = READ ONCE(x);
                          synchronize rcu(); ....
                          /* wait */
                                                  rcu read lock();
                          /* wait */ __
                                                  WRITE ONCE(y, 1);
 r1 = READ ONCE(y);
                      /* wait */
                         /* wait */
  rcu_read_unlock();___
                          WRITE ONCE (z, 1);
                                                   r3 = READ ONCE(z);
                                                   rcu read unlock();
```



#### The Tool Agrees (Given Late-2016 Memory Model)

```
$ herd7 -macros linux.def -conf strong.cfg C-RW-R+RW-G+RW-R.litmus
Test auto/C-RW-R+RW-G+RW-R Allowed
States 8
0:r1=0; 1:r2=0; 2:r3=0;
0:r1=0; 1:r2=0; 2:r3=1;
0:r1=0; 1:r2=1; 2:r3=0;
0:r1=0; 1:r2=1; 2:r3=1;
0:r1=1; 1:r2=0; 2:r3=0;
0:r1=1; 1:r2=0; 2:r3=1;
0:r1=1; 1:r2=1; 2:r3=0;
0:r1=1; 1:r2=1; 2:r3=1;
Ok
Witnesses
Positive: 1 Negative: 7
Condition exists (0:r1=1 /\ 1:r2=1 /\ 2:r3=1)
Observation auto/C-RW-R+RW-G+RW-R Sometimes 1 7
Hash=0e5145d36c24bf7e57e9ef5f046716b8
```



#### At Summer's End...

- I create a writeup of RCU behavior
- This results in general rule:
  - —If there are at least as many grace periods as read-side critical sections in a given cycle, then that cycle is forbidden
    - As in the earlier litmus test: Two critical sections, only one grace period
- Jade calls this "principled"
  - -(Which is about as good as it gets for us Linux kernel hackers)
  - -But she also says "difficult to represent as a formal memory model"
- However, summer is over, and Jade is out of time
  - -She designates a successor



#### At Summer's End...

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- Jade calls this "principled"
  - -(Which is about as good as it gets for us Linux kernel hackers)
  - -But she also says "difficult to represent as a formal memory model"
- However, summer is over, and Jade is out of time
  - -She designates a successor
- But first, Jade produced the first demonstration that a Linuxkernel memory model is feasible!!!
  - -And forced me to a much better understanding of RCU!!!



## **Project Handoff: Jade's Successor**



Luc Maranget, INRIA Paris (November 2015)

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# This Is Luc's First Exposure to RCU



#### This Is Luc's First Exposure to RCU

- It is my turn to use litmus tests as a form of communication
  - -Sample tests that RCU should allow or forbid
    - Accompanied by detailed rationale for each
  - -Series of RCU "implementations" in litmus-test language (AKA "LISA")
    - With varying degrees of accuracy and solver overhead
    - Some of which require knowing the value loaded before the load
    - Which, surprisingly enough, is implementable in memory-model tools! "Prophecy variables", they are called
  - -Run Luc's models against litmus tests, return scorecard
    - With convergence, albeit slow convergence



#### **Luc's Model Passes Most Litmus Tests**

- Luc: "I need you to break my model!"
  - -Need automation: Scripts generate litmus tests and expected outcome
  - -Currently at 2,722 automatically generated litmus tests to go with the 348 manually generated litmus tests
    - Which teaches me about mathematical "necklaces" and "bracelets"
  - -Luc generated 1,879 more for good measure using the "diy" tool
  - -Moral: Validation is critically important in theory as well as in practice
- But does the model match real hardware?
  - –As represented by formal memory models?
  - –As represented by real hardware implementations?
  - -There will always be uncertainty: Provide two models, strong and weak



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  - —And who is going to run all the tests???



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- But does the model match real hardware?
  - –As represented by formal memory models?
  - –As represented by real hardware implementations?
  - -There will always be uncertainty: Provide two models, strong and weak
  - –And who is going to run all the tests???
- But first: Luc produced first high-quality memory model for the Linux kernel that included a realistic RCU model!!!



## **Inject Hardware and Linux-Kernel Reality**



Andrea Parri, Real-Time Systems Laboratory Scuola Superiore Sant'Anna (January 2016)



#### **Large Conversion Effort**

- Created script to convert litmus test to Linux kernel module
  - -And then ran the result on x86, ARM, and PowerPC
  - -And on the actual hardware, just for good measure: Fun with types!!!
- Helped Luc add support for almost-C-language litmus tests
  - -"r1 = READ ONCE(x)" instead of LISA-code "r[once] r1 x"
- Luc's infrastructure used to summarize results on the web
  - -Compare results of different models, different hardware, and different litmus tests—extremely effective in driving memory-model evolution!



#### **Large Conversion Effort**

- Results look pretty good, but are we just getting lucky???
  - -Insufficient overlap between specialties!!!
  - -Way too easy for us to talk past each other
    - Which would result in subtle flaws in the memory model
  - -Need bridge between Linux-kernel RCU and formal memory models



#### **Large Conversion Effort**

- Results look pretty good, but are we just getting lucky???
  - -Insufficient overlap between specialties!!!
  - -Way too easy for us to talk past each other
    - Which would result in subtle flaws in the memory model
  - -Need bridge between Linux-kernel RCU and formal memory models
- But first: Andrea developed and ran test infrastructure, plus contributed directly to the Linux-kernel memory model!!!



#### **Bridging Between Linux Kernel and Formal Methods**



Alan S. Stern, Rowland Institute at Harvard (February 2016)

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#### **Alan's Background**

Maintainer, Linux-kernel USB EHCI, OHCI, & UHCI drivers



#### A Bit More of Alan's Background

Maintainer, Linux-kernel USB EHCI, OHCI, & UHCI drivers

#### Education:

- -Harvard University, A.B. (Mathematics, summa cum laude), 1979
- -University of California, Berkeley, Ph.D. (Mathematics), 1984

#### Selected Publications:

- -NMR Data Processing, Jeffrey C. Hoch and Alan S. Stern, Wiley-Liss, New York (1996).
- –"De novo Backbone and Sequence Design of an Idealized α/β-barrel Protein: Evidence of Stable Tertiary Structure", F. Offredi, F. Dubail, P. Kischel, K. Sarinski, A. S. Stern, C. Van de Weerdt, J. C. Hoch, C. Prosperi, J. M. Francois, S. L. Mayo, and J. A. Martial, J. Mol. Biol. 325, 163–174 (2003).
- -"User-Level Implementations of Read-Copy Update", Mathieu Desnoyers, Paul E. McKenney, Alan S. Stern, Michel R. Dagenais, and Jonathan Walpole, IEEE Trans. Par. Distr. Syst. 23, 375–382 (2012).



#### I Had Hoped That Alan Would Critique The Model



# I Had Hoped That Alan Would Critique The Model Which He Did—By Rewriting It (Almost) From Scratch



#### **Modeling RCU Read-Side Critical Sections**

```
let matched = let rec
            unmatched-locks = Rcu read lock \ domain(matched)
        and unmatched-unlocks = Rcu read unlock \ range(matched)
        and unmatched = unmatched-locks | unmatched-unlocks
        and unmatched-po = (unmatched * unmatched) & po
        and unmatched-locks-to-unlocks = (unmatched-locks *
                        unmatched-unlocks) & po
        and matched = matched (unmatched-locks-to-unlocks \
                (unmatched-po; unmatched-po))
        in matched
flag ~empty Rcu read lock \ domain(matched) as unbalanced-rcu-locking
flag ~empty Rcu read unlock \ range(matched) as unbalanced-rcu-locking
let crit = matched \ (po^-1 ; matched ; po^-1)
```

Handles multiple and nested critical sections and also reports errors on mismatches!!!

And is an excellent example of "mutually assured recursion" design



#### **Modeling RCU's Grace-Period Guarantee**

Handles arbitrary critical-section/grace-period combinations, and also interfaces to remainder of memory model



#### **Modeling RCU's Grace-Period Guarantee**

Handles arbitrary critical-section/grace-period combinations, and also interfaces to remainder of memory model

And all of this in only 24 lines of code!!!



#### Small Example of Cat Language: Single-Variable SC



#### Small Example of Cat Language: Single-Variable SC

```
let com = rf | co | fr
let coherence-order = po-loc | com
acyclic coherence-order
```

- "rf" relation connects write to reads returning the value written: Causal!
- "co" relation connects pairs of writes to same variable
- "fr" relation connects reads to later writes to same variable (fr = rf^1; co)
- "po-loc" relation connects pairs of accesses to same variable within given thread
- Result: Aligned machine-sized accesses to given variable are globally ordered
- Note: Full memory model is about 200 lines of code!



#### **Single-Variable SC Litmus Test**

```
P0(void)
                            P1(void)
    WRITE ONCE(x, 3);
                                r1 = READ ONCE(x);
    WRITE_ONCE(x, 4);
                                r2 = READ_ONCE(x);
           BUG ON(r1 == 4 \&\& r2 == 3);
```



### Single-Variable SC Litmus Test: rf Relationships

BUG 
$$ON(r1 == 4 \&\& r2 == 3);$$



#### Single-Variable SC Litmus Test: po-loc Relationships

```
P0(void)

{

WRITE_ONCE(x, 3);

WRITE_ONCE(x, 4);

P1(void)

r_1 = READ_ONCE(x);

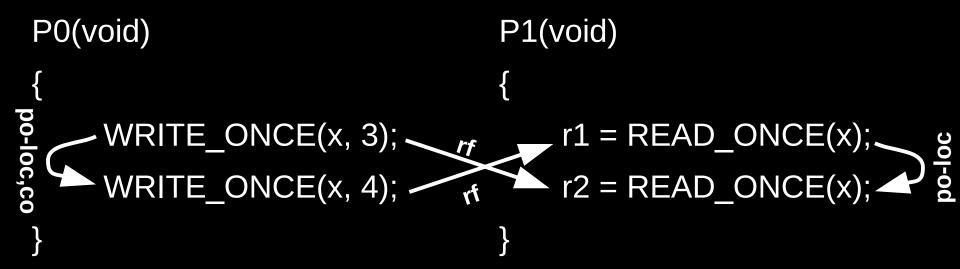
r_2 = READ_ONCE(x);

}
```

BUG 
$$ON(r1 == 4 \&\& r2 == 3);$$



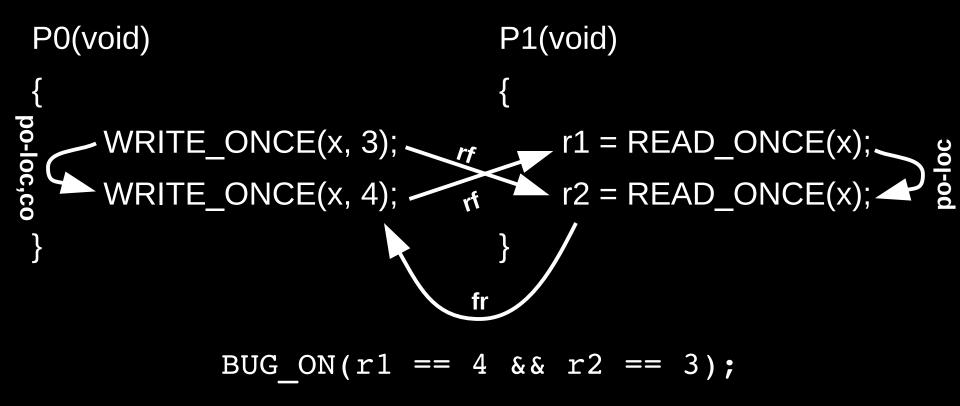
### Single-Variable SC Litmus Test: co Relationship



BUG 
$$ON(r1 == 4 \&\& r2 == 3);$$



#### Single-Variable SC Litmus Test: fr Relationships





#### Single-Variable SC Litmus Test: Acyclic Check

BUG\_ON(r1 == 4 && r2 == 3);

Cycle, thus forbidden!

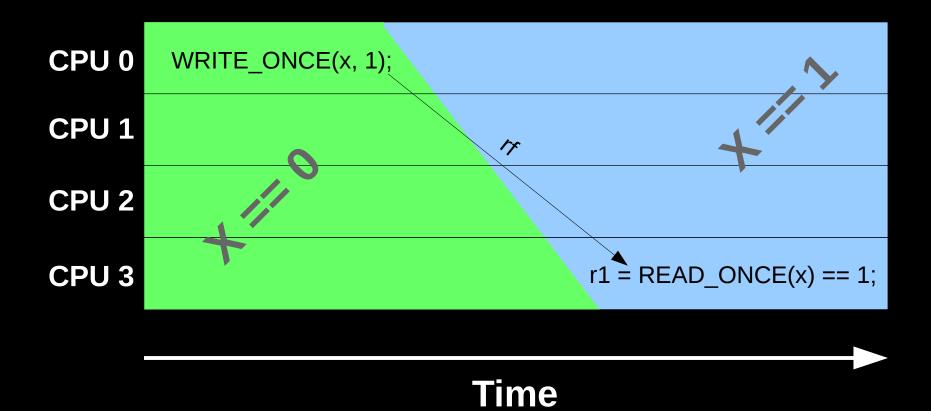
(Cycles are a generalization of memory-barrier pairing)



#### **Not All Communications Relations Are Created Equal**

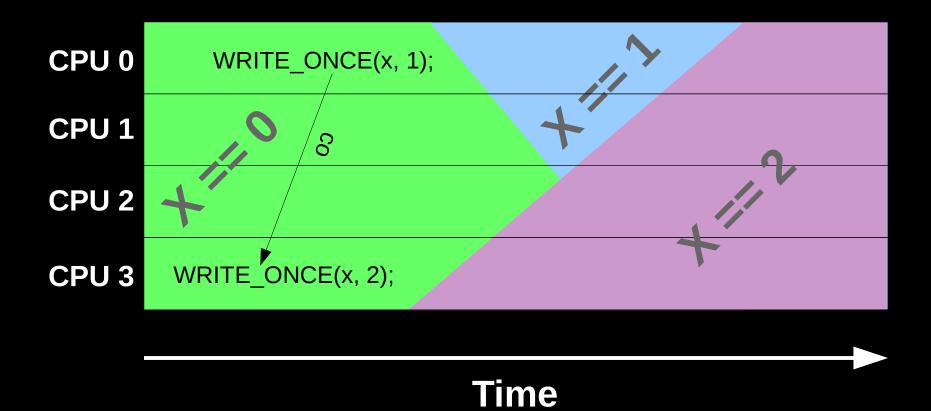


### Ordering vs. Time: The Reads-From (rf) Relation





### Ordering vs. Time: The Coherence (co) Relation Can Go Backwards In Time!





# Ordering vs. Time: The Coherence (co) Relation Can Go Backwards In Time! How Can This Happen? (1/7)

CPU 0

CPU 1

CPU 2

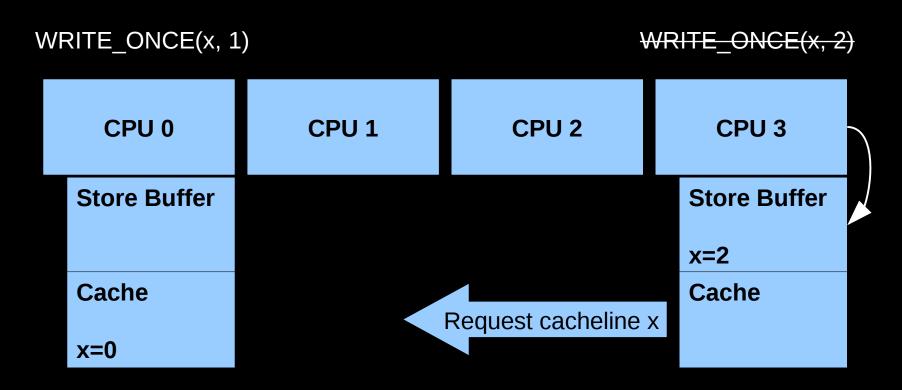
CPU 3

Store Buffer

Cache
x=0



# Ordering vs. Time: The Coherence (co) Relation Can Go Backwards In Time! How Can This Happen? (2/7)





## Ordering vs. Time: The Coherence (co) Relation Can Go Backwards In Time! How Can This Happen? (3/7)

CPU 0

CPU 1

CPU 2

CPU 3

Store Buffer

x=1

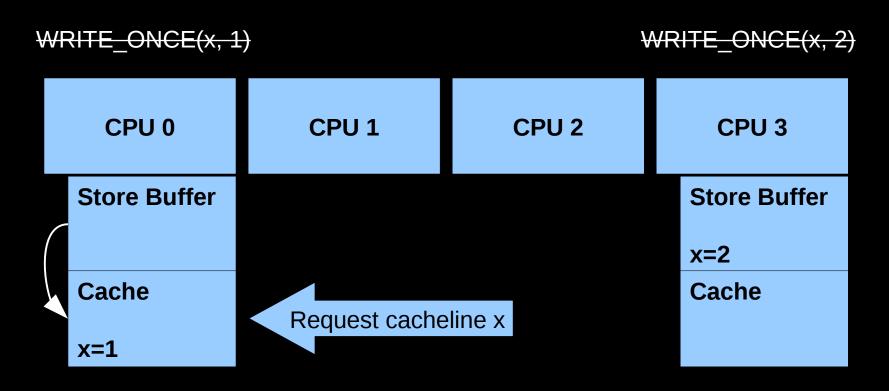
Cache

x=0

Request cacheline x

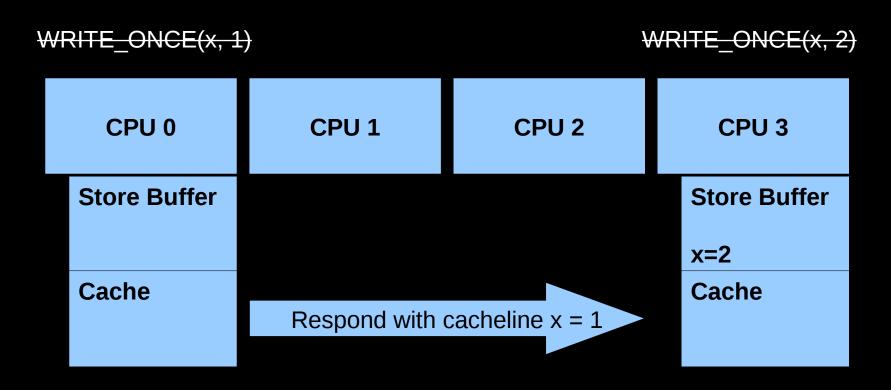


## Ordering vs. Time: The Coherence (co) Relation Can Go Backwards In Time! How Can This Happen? (4/7)



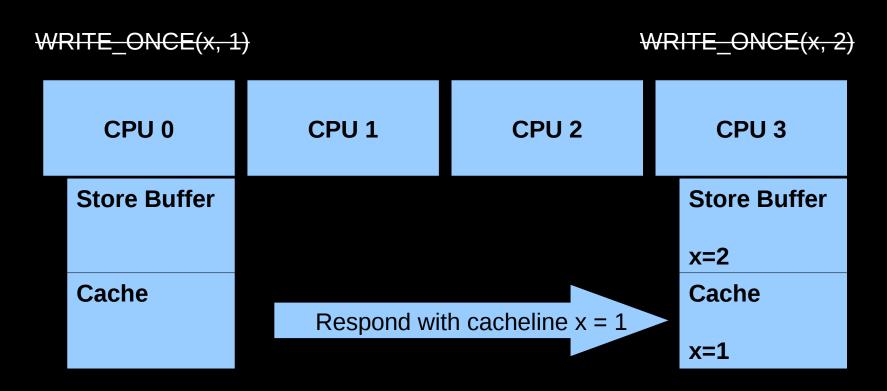


## Ordering vs. Time: The Coherence (co) Relation Can Go Backwards In Time! How Can This Happen? (5/7)



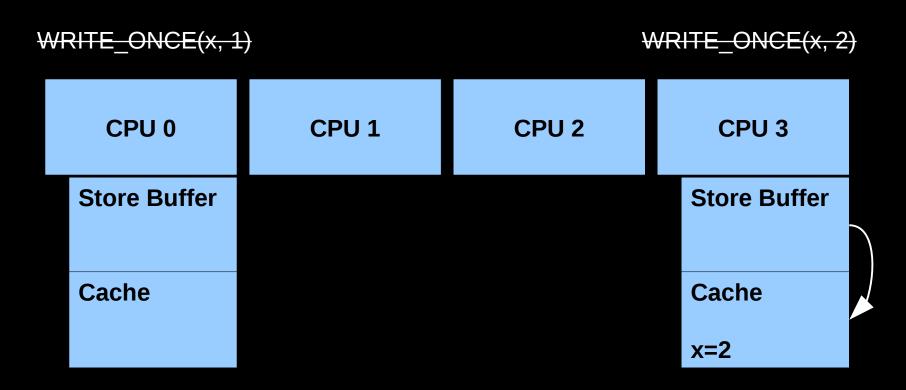


## Ordering vs. Time: The Coherence (co) Relation Can Go Backwards In Time! How Can This Happen? (6/7)





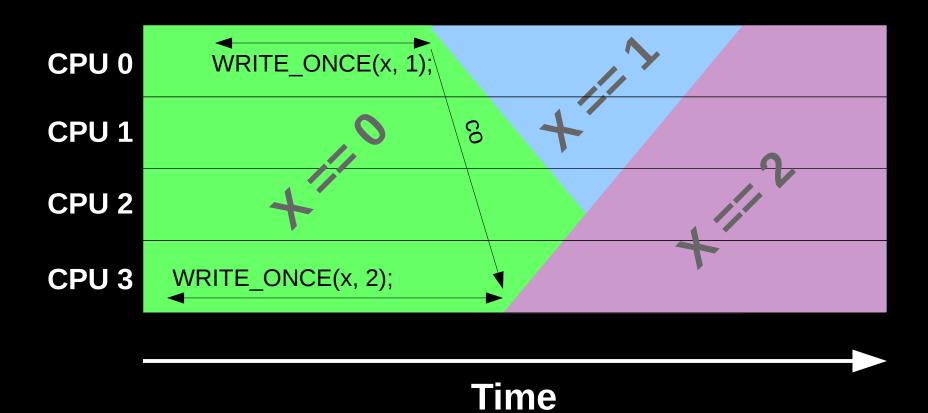
## Ordering vs. Time: The Coherence (co) Relation Can Go Backwards In Time! How Can This Happen? (7/7)



Writes are *not* instantaneous!

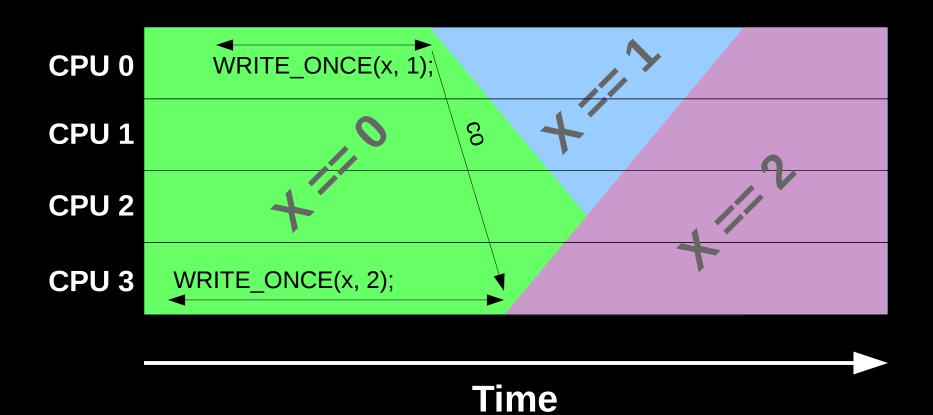


### Ordering vs. Time: But the Coherence (co) Relation Goes *Forward* in Time Based on Cacheline!!!



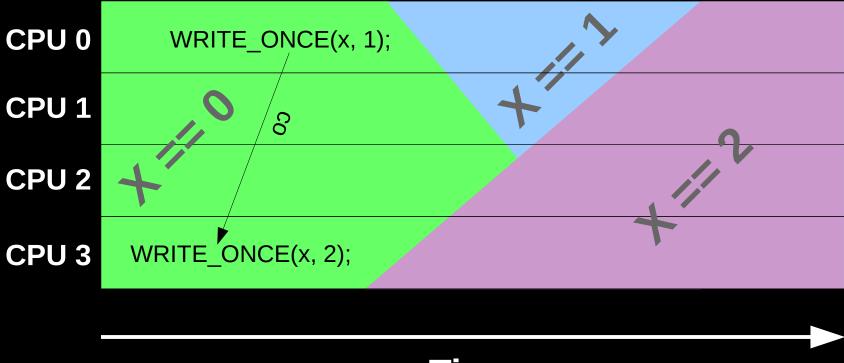


### Ordering vs. Time: But the Coherence (co) Relation Goes *Forward* in Time Based on Cacheline!!!



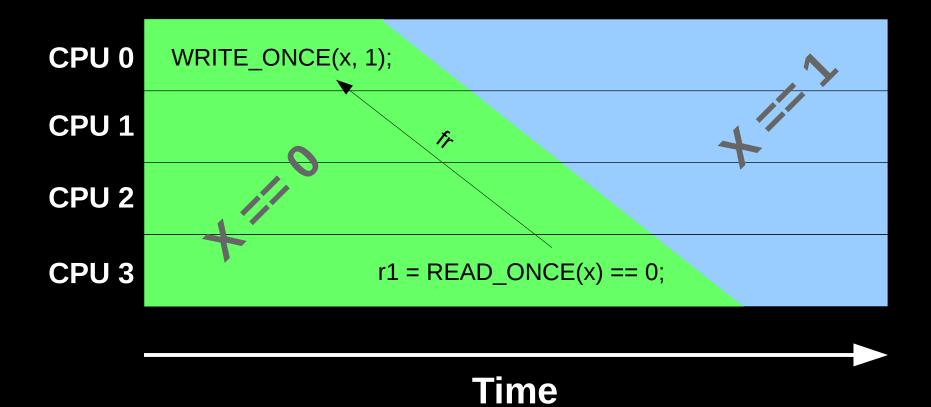


# We Therefore Think in Terms of the Coherence (co) Relation Going Backwards In Time





### Ordering vs. Time: The From-Reads (fr) Relation Can Also Go Backwards In Time!





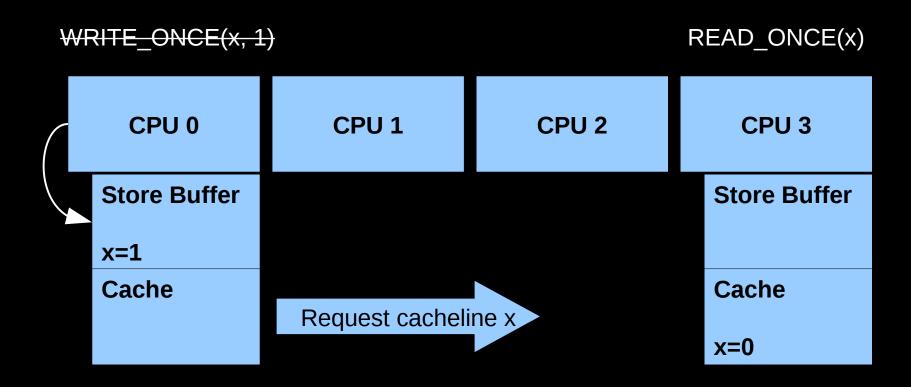
# Ordering vs. Time: The From-Reads (fr) Relation Can Also Go Backwards In Time! (1/7)

CPU 0
CPU 1
CPU 2
CPU 3

Store Buffer
Cache
Cache
x=0

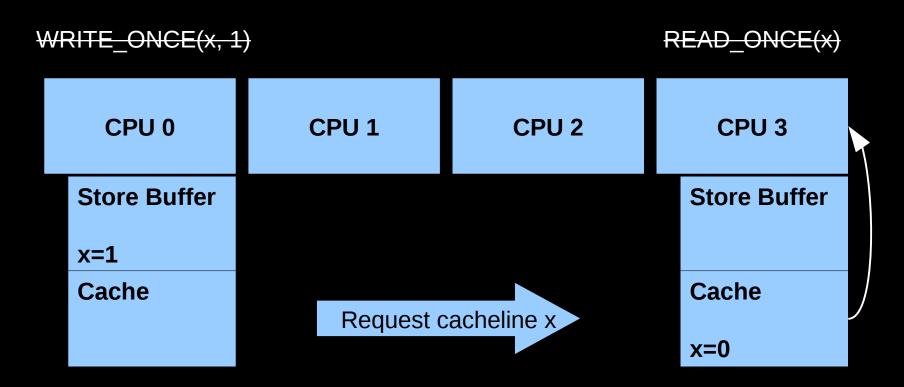


# Ordering vs. Time: The From-Reads (fr) Relation Can Also Go Backwards In Time! (2/7)



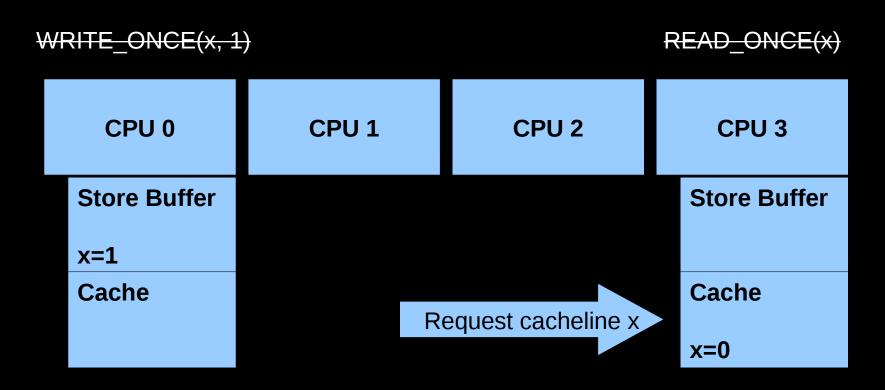


# Ordering vs. Time: The From-Reads (fr) Relation Can Also Go Backwards In Time! (3/7)



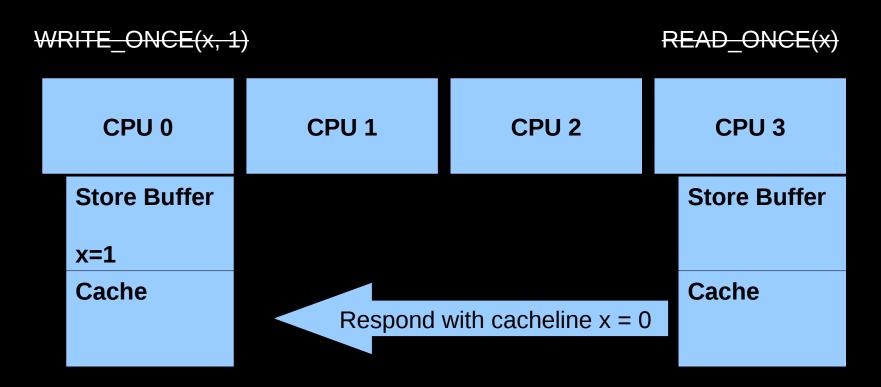


## Ordering vs. Time: The From-Reads (fr) Relation Can Also Go Backwards In Time! (4/7)



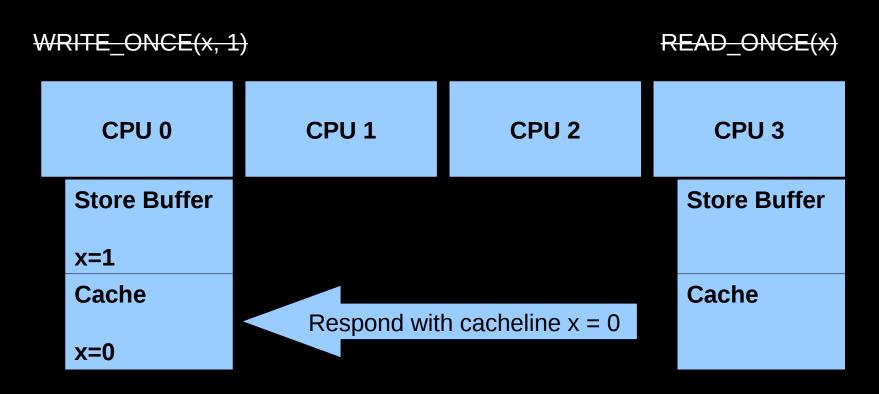


# Ordering vs. Time: The From-Reads (fr) Relation Can Also Go Backwards In Time! (5/7)



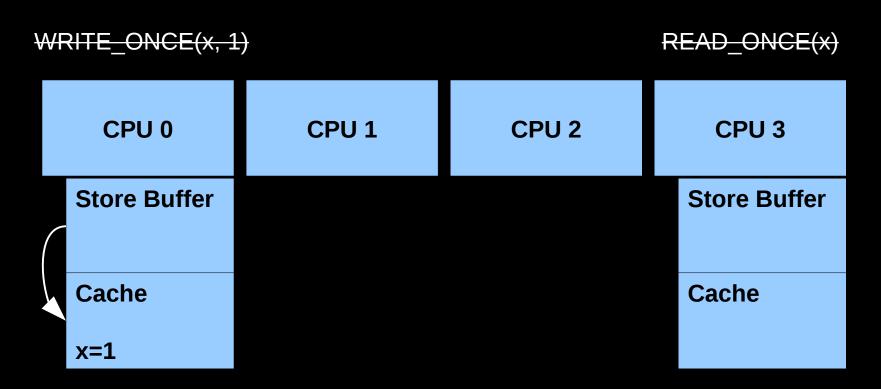


# Ordering vs. Time: The From-Reads (fr) Relation Can Also Go Backwards In Time! (6/7)





# Ordering vs. Time: The From-Reads (fr) Relation Can Also Go Backwards In Time! (7/7)



Again, writes are *not* instantaneous!



## Moral: More rf Links, Lighter-Weight Barriers!!!



## A Hierarchy of Litmus Tests: Rough Rules of Thumb

- Dependencies and rf relations everywhere
  - -No additional ordering required
- If all rf relations, can replace dependencies with acquire
  - -Some architecture might someday also require release, so careful!
- If only one relation is non-rf, can use release-acquire
  - -Dependencies can *sometimes* be used instead of release-acquire
  - -But be safe actually run the model to find out exactly what works!!!
- If two or more relations are non-rf, strong barriers needed
  - -At least one between each non-rf relation
  - -But be safe actually run the model to find out exactly what works!!!

### But for full enlightenment, see memory models themselves:

- http://www.rdrop.com/users/paulmck/scalability/paper/LCA-LinuxMemoryModel.2017.01.15a.tgz



### **How to Run Models**

- Download herd tool as part of diy toolset
  - -http://diy.inria.fr/sources/index.html
- Build as described in INSTALL.txt
  - -Need ocaml v4.01.0 or better: http://caml.inria.fr/download.en.html
    - Or install from your distro (easier and faster!)
- Run various litmus tests:
  - -herd7 -conf strong.cfg litmus/auto/C-LB-GWR+R-A.litmus
  - -herd7 -conf strong.cfg C-RW-R+RW-Gr+RW-Ra.litmus
  - -herd7 -conf strong.cfg C-RW-R+RW-G+RW-R.litmus
- Other required files:
  - -linux.def: Support pseudo-C code
  - -strong.cfg: Specify strong model
  - -strong-kernel.bell: "Bell" file defining events and relationships
  - -strong-kernel.cat: "Cat" file defining actual memory model
  - -\*.litmus: Litmus tests

http://www.rdrop.com/users/paulmck/scalability/paper/LCA-LinuxMemoryModel.2017.01.15a.tgz



## **Current Model Capabilities ...**

- READ\_ONCE() and WRITE\_ONCE()
- smp\_store\_release() and smp\_load\_acquire()
- rcu\_assign\_pointer()
- rcu\_dereference() and lockless\_dereference()
- rcu\_read\_lock(), rcu\_read\_unlock(), and synchronize\_rcu()-Also synchronize\_rcu\_expedited(), but same as synchronize\_rcu()
- smp\_mb(), smp\_rmb(), smp\_wmb(), and smp\_read\_barrier\_depends()
- xchg(), xchg\_relaxed(), xchg\_release(), and xchg\_acquire()
- spin\_trylock() and spin\_unlock() prototypes in progress



### ... And Limitations

#### As noted earlier:

- -Compiler optimizations not modeled
- -No arithmetic
- -Single access size, no partially overlapping accesses
- No arrays or structs (but can do trivial linked lists)
- -No dynamic memory allocation
- -Read-modify-write atomics: Only xchg() and friends for now
- –No locking (but can emulate locking operations with xchg())
- -No interrupts, exceptions, I/O, or self-modifying code
- No functions
- -No asynchronous RCU grace periods, but can emulate them:
  - Separate thread with release-acquire, grace period, and then callback code



## **Summary**



## **Summary**

- We have automated much of memory-barriers.txt
  - -And more precisely defined much in it!
  - -Subject to change, but good set of guiding principles
- First realistic formal Linux-kernel memory model
- First realistic formal memory model including RCU
- Hoped-for benefits:
  - -Memory-ordering education tool
  - -Core-concurrent-code design aid
  - -Ease porting to new hardware and new toolchains
  - -Basis for additional concurrency code-analysis tooling



## **Summary**

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  - -Basis for additional concurrency code-analysis tooling
  - -Satisfy those asking for it!!!



## To Probe Deeper: Memory Models (1/2)

- "Simulating memory models with herd", Alglave and Maranget (herd manual)
  - http://diy.inria.fr/tst/doc/herd.html
- "Herding cats: Modelling, Simulation, Testing, and Data-mining for Weak Memory", Alglave et al.
  - http://www0.cs.ucl.ac.uk/staff/j.alglave/papers/toplas14.pdf
- Download page for herd: http://diy.inria.fr/herd/
- LWN article for herd: http://lwn.net/Articles/608550/ For PPCMEM: http://lwn.net/Articles/470681/
- Lots of Linux-kernel litmus tests: https://github.com/paulmckrcu/litmus
- "Understanding POWER Multiprocessors", Sarkar et al.
  - http://www.cl.cam.ac.uk/~pes20/ppc-supplemental/pldi105-sarkar.pdf
- "Synchronising C/C++ and POWER", Sarkar et al.
  - http://www.cl.cam.ac.uk/~pes20/cppppc-supplemental/pldi010-sarkar.pdf



## To Probe Deeper: Memory Models (2/2)

- "Modelling the ARMv8 Architecture, Operationally: Concurrency and ISA", Flur et al.
  - http://www.cl.cam.ac.uk/~pes20/popl16-armv8/top.pdf
- "A Tutorial Introduction to the ARM and POWER Relaxed Memory Models", Maranget et al.
  - http://www.cl.cam.ac.uk/~pes20/ppc-supplemental/test7.pdf
- "A better x86 memory model: x86-TSO", Owens
  - http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.153.6657&rep=rep1&type=pdf
- "A Framework for the Investigation of Shared Memory Systems", Bart Van Assche et al.
  - http://www.bartvanassche.be/publications/2000-csi.pdf
- Lots of relaxed-memory model information: http://www.cl.cam.ac.uk/~pes20/weakmemory/
- "Linux-Kernel Memory Model", (informal) C++ working paper, McKenney et al.,
  - http://www.open-std.org/jtc1/sc22/wg21/docs/papers/2016/p0124r2.html



## To Probe Deeper: RCU

- Desnoyers et al.: "User-Level Implementations of Read-Copy Update"
  - http://www.rdrop.com/users/paulmck/RCU/urcu-main-accepted.2011.08.30a.pdf
  - http://www.computer.org/cms/Computer.org/dl/trans/td/2012/02/extras/ttd2012020375s.pdf
- McKenney et al.: "RCU Usage In the Linux Kernel: One Decade Later"
  - http://rdrop.com/users/paulmck/techreports/survey.2012.09.17a.pdf
  - http://rdrop.com/users/paulmck/techreports/RCUUsage.2013.02.24a.pdf
- McKenney: "Structured deferral: synchronization via procrastination"
  - http://doi.acm.org/10.1145/2483852.2483867
  - McKenney et al.: "User-space RCU" https://lwn.net/Articles/573424/
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  - https://lwn.net/Articles/573424/
- McKenney: "Requirements for RCU"
  - http://lwn.net/Articles/652156/ http://lwn.net/Articles/652677/ http://lwn.net/Articles/653326/
- McKenney: "Beyond the Issaquah Challenge: High-Performance Scalable Complex Updates"
  - http://www2.rdrop.com/users/paulmck/RCU/Updates.2016.09.19i.CPPCON.pdf
- McKenney, ed.: "Is Parallel Programming Hard, And, If So, What Can You Do About It?"
  - http://kernel.org/pub/linux/kernel/people/paulmck/perfbook/perfbook.html



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## **Questions?**